



Andrew M. Cuomo
Governor

NEW YORK STATE
OFFICE OF CHILDREN & FAMILY SERVICES
52 WASHINGTON STREET
RENSSELAER, NY 12144

Gladys Carrión, Esq.
Commissioner

Local Commissioners Memorandum

Transmittal:	12-OCFS-LCM-14
To:	Local District Commissioners
Issuing Division/Office:	Division of Child Welfare and Community Services / Division of Administration
Date:	July 24, 2012
Subject:	TANF Funding For Non-Residential Domestic Violence Services for 2012-2013
Contact Person(s):	See Section VI
Attachments:	A. Individual County Allocations B. Allocation Attestation C. Federal TANF Funding Guidelines and Claiming Instructions
Attachment Available Online:	Yes (as attachments to this LCM) http://www.ocfs.state.ny.us/main/policies/external

I. Purpose

The purpose of this Local Commissioners Memorandum (LCM) is to notify local social services districts of their allocation for Non-Residential Domestic Violence (DV) Services being administered through the Office of Children and Family Services (OCFS). The enacted budget for State Fiscal Year (SFY) 2012-13 includes a separate Temporary Assistance for Needy Families (TANF) appropriation of \$1,210,000 for the provision of Non-Residential Domestic Violence Services. The allocation for each county is listed in Attachment A of this document.

II. Background

TANF funding for Non-Residential Domestic Violence Services has been administered by the Office of Children and Family Services (OCFS) and the Office of Temporary and Disability Assistance (OTDA) in prior years and was made available through the Flexible Fund for Family Services (FFFS) in SFY 2006-07.

III. Allocation Methodology

The funding is allocated to local social services districts based on program needs and

expenditure history. A base allocation of \$10,083 is provided to each district. Additional funds are allocated based on domestic violence expenditures and claiming history. Please see Attachment A for a complete list of county allocations.

IV. Program Implications and Federal TANF Funding Guidelines

These TANF funds must be used for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by approved Non-Residential Domestic Violence Programs only for persons with incomes up to 200% of the poverty level. For a complete list of approved Non-Residential Domestic Violence Programs, refer to the OCFS website at www.ocfs.state.ny.us.

Local districts must complete Attachment B, TANF 2012-13 Non-Residential Domestic Violence Services Plan and Allocation Attestation, indicating if the district intends to access these TANF allocations. If the local district intends to use the funds, a plan needs to be submitted for each approved Non-Residential Domestic Violence Service Provider that will receive money from this allocation. The plans must provide a description of the programs and services being provided with the TANF monies for TANF eligible victims of domestic violence and their children.

Note: Funds cannot be used for medical services, DV hotline services, DV outreach/educational services, or capital expenditures.

Please send the signed and dated Attachment B and plans by August 5, 2012, by mail to:

Pamela Jobin
NYS Office of Children & Family Services
Bureau of Program and Community Services
52 Washington Street, Room 334 North
Rensselaer, N.Y. 12144-2796

As in previous years, these funds must be expended and claimed in accordance with the federal TANF Funding Guidelines and Claiming Instructions in Attachment C.

V. Claiming Instructions and Periods

All expenditures against the Non-Residential Domestic Violence Services allocation should be claimed in accordance with the local social services district's Non-Residential Domestic Violence Services approved plan.

Beginning with October 2011 claims, the expenditures for the Non Res DV projects should be claimed through the RF17 claim package for special project claiming. These administrative and/or contracted costs associated with approved plans should be identified as F17 functional costs and reported on the Schedule D "DSS Administrative Expenses Allocation and Distribution by Function and Program (LDSS-2347)" in the F17 column in the RF2A claim package. The individual project costs must also be reported on the LDSS-4975A "RF17 Worksheet, Distribution of Allocated Costs to Other Reimbursable Programs."

Salary and non-salary costs of staff may be direct charged to the RF17 claim package or may be identified by time study. Local district staff not working fulltime on Non-Residential Domestic Violence Services must be time studied and only those related costs should be charged to Non-Residential Domestic Violence Services.

Non-salary administrative costs are reported with the appropriate object of expense(s) on the LDSS-923B Summary-Administrative (page 1) "Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs." Program costs are reported as object of expense 37 - Special Project Program Expense on the LDSS-923B Summary-Program (page 2) "Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs." The expenditures should be entered in the RF-17 Claim Package based on the guidelines noted in Attachment C.

Total project costs and shares should be reported on the LDSS-4975 "Monthly Statement of Special Project Claims Federal and State Aid (RF-17)."

The Schedule D and RF17 claim package are found in Chapters 7 and 18 respectively of the Fiscal Reference Manual (FRM) Volume 3 (Volume 4 for New York City). The FRMs are available on-line at <http://otda.state.nyenet/bfdm/finance/>.

Costs are claimed at the 100% Federal Share for clients in receipt of TANF or who are eligible for TANF with incomes up to 200% of the poverty level. Reimbursement is available up to the limit of the allocation. Costs over the allocation will become regular domestic violence services expenditures, which are subject to 50% state reimbursement net of any available Title XX funds.

Because of federal reporting requirements, the local district must report both program and administrative costs for claiming purposes. The RF17 claim package permits the reporting of both types of expenditures on the same form.

Under federal TANF regulations, there is a 15% limitation for costs that may be claimed for administrative activities. Under the same regulations, certain activities that are normally considered administrative are now classified as program costs under these federal rules and, therefore, are not subject to the 15% cap. The guidelines on what costs are administrative and which are non-administrative are noted above.

The funds being announced in this LCM for SFY 2012-13 (DV Round 11) labeled on the RF-17 as Non Res DV 11 must be spent by June 30, 2013, and claimed by August 15, 2013. These funds are to be used to reimburse expenditures beginning October 1, 2011, and ending June 30, 2013, with claims due by August 15, 2013.

Additionally, local districts with unexpended balances of Non-Residential Domestic Violence Services funding from DV 8 (SFY 2009-10) labeled as Non Res DV 8 on TRACS for claims thru September 30, 2011, and on the RF-17 for claims October 2011 forward, and DV 9 (SFY 2010-11) labeled as Non Res DV 9 on TRACS for claims thru September 30, 2011, and on the RF-17 for claims October 2011 forward, and DV 10 (SFY 2011-12 funding) labeled as Non Res DV 10 on TRACS for claims

thru September 30, 2011, and on the RF-17 for claims October 2011 forward will have an extended expenditure period to June 30, 2013, with claims due by August 15, 2013. Non Res DV 8, DV 9 and DV 10 allocations should be spent down by those districts with existing balances prior to claiming for Non Res DV 11 monies. For claims prior to October 1, 2011, the claims must be submitted on TRACS.

VI. Contact Persons

Programmatic questions should be directed to either the OCFS home office staff or the appropriate OCFS Regional Office, Division of Child Welfare and Community Services staff, listed below:

Home Office

Pamela Jobin (518) 474-4787 or Derek Holtzclaw (518) 474-1361;
or by e-mail at Pamela.Jobin@ocfs.state.ny.us or Derek.Holtzclaw@ocfs.state.ny.us

Regional Offices

Buffalo	Dana Whitcomb	(716) 847-3145
	Dana.Whitcomb@ocfs.state.ny.us	
Rochester	Karen Buck	(585) 238-8201
	Karen.Buck@ocfs.state.ny.us	
Syracuse	Daniel Comins	(315) 423-1200
	Daniel.Comins@ocfs.state.ny.us	
Albany	Kerri Barber	(518) 486-7078
	Kerri.Barber@ocfs.state.ny.us	
Spring Valley	Raymond Toomer	(845) 708-2498
	Raymond.Toomer@ocfs.state.ny.us	
NYC	Patricia Beresford	(212) 383-1788
	Patricia.Beresford@ocfs.state.ny.us	

Any questions concerning claiming procedures may be directed to the OTDA Bureau of Financial Services by e-mail or telephone:

Edward Conway (Regions I-V) (518) 474-7549
Edward.Conway@otda.ny.gov

Michael Simon (Region VI) (212) 961-8250
Michael.Simon@otda.ny.gov

Issued By:

/s/ Laura M. Velez

Name: Laura M. Velez

Title: Deputy Commissioner

Division/Office: Child Welfare and Community Services

/s/ Mikki Ward-Harper

Name: Mikki Ward-Harper

Title: Acting Deputy Commissioner

Division/Office: Administration

Attachment A

SFY 2012-13 TANF NON-RESIDENTIAL DOMESTIC VIOLENCE SERVICES ALLOCATIONS

DISTRICT	
Albany	\$10,202
Allegany	\$10,083
Broome	\$10,083
Cattaraugus	\$10,083
Cayuga	\$10,083
Chautauqua	\$13,877
Chemung	\$10,083
Chenango	\$10,083
Clinton	\$10,083
Columbia	\$10,083
Cortland	\$10,083
Delaware	\$10,083
Dutchess	\$20,449
Erie	\$58,033
Essex	\$10,083
Franklin	\$10,083
Fulton	\$10,083
Genesee	\$10,083
Greene	\$10,083
Hamilton	\$10,083
Herkimer	\$10,083
Jefferson	\$10,083
Lewis	\$10,083
Livingston	\$10,083
Madison	\$10,083
Monroe	\$29,450
Montgomery	\$10,083
Nassau	\$11,504
Niagara	\$14,045

Attachment A (Continued)

SFY 2012-13 TANF NON-RESIDENTIAL DOMESTIC VIOLENCE SERVICES ALLOCATIONS

Oneida	\$24,357
Onondaga	\$18,629
Ontario	\$10,083
Orange	\$10,188
Orleans	\$10,083
Oswego	\$10,083
Otsego	\$10,083
Putnam	\$10,083
Rensselaer	\$10,083
Rockland	\$10,083
St Lawrence	\$10,083
Saratoga	\$10,083
Schenectady	\$10,083
Schoharie	\$10,083
Schuyler	\$10,083
Seneca	\$10,083
Steuben	\$10,083
Suffolk	\$19,199
Sullivan	\$10,083
Tioga	\$10,083
Tompkins	\$10,083
Ulster	\$10,083
Warren	\$10,083
Washington	\$10,083
Wayne	\$10,083
Westchester	\$22,224
Wyoming	\$10,083
Yates	\$10,083
New York City	\$504,108
TOTAL	\$1,210,000

ATTACHMENT B

TANF 2012-13 NON-RESIDENTIAL DOMESTIC VIOLENCE SERVICES PLAN AND ALLOCATION ATTESTATION

DISTRICT:

TANF PLAN CONTACT PERSON:

PHONE # AND E-MAIL ADDRESS:

Check one:

___ By signing this form, I am attesting that the social services district will use its TANF 2012-13 allocation for enhanced or expanded core and/or optional Non-Residential Domestic Violence Services provided by approved Non-Residential Domestic Violence Programs only for persons with incomes up to 200% of the poverty level as outlined in the attached, and expenditures will continue to be in accordance with federal TANF guidelines.

___ By signing this form, I am attesting that the social services district will not be accessing its TANF 2012-13 allocation for Non-Residential Domestic Violence Services.

Name of approved Non-Residential Domestic Violence Service Provider(s) that will receive these funds, and contact person and telephone number or e-mail address:

Program:	Contact Person:
1. _____	_____
2. _____	_____
3. _____	_____

For each provider listed, provide a brief description of the services that will be supported with this allocation. Use additional sheets as required. (Note: these funds cannot be used to supplant existing federal, state and/ or local funding sources):

Commissioner Signature	Date
------------------------	------

Send signed Attachment B with any additional sheets by August 5, 2012, by mail to:

Pamela Jobin
 NYS Office of Children & Family Services
 Bureau of Program and Community Services
 52 Washington Street, Room 334 North
 Rensselaer, N.Y. 12144-2796

ATTACHMENT C

FEDERAL TANF FUNDING GUIDELINES AND CLAIMING INSTRUCTIONS

Federal TANF Funding Guidelines

Eligible Families/Individuals

- Funds may be used for families, children and non-custodial parents who are at or below 200% of the federal poverty level, and otherwise meet the TANF categorical eligibility standards including the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) U.S. citizenship or qualified alien requirements. For the SFY 2012-13 allocation, the income standards are noted below:

2012 HHS Poverty Guidelines

SOURCE: Federal Register, Volume 77, Number 17, Thursday, January 26, 2012, pp. 4034-4035

Persons in Family Unit	Poverty Level	200% of Poverty
1	\$11,170	\$22,340
2	\$15,130	\$30,260
3	\$19,090	\$38,180
4	\$23,050	\$46,100
5	\$27,010	\$54,020
6	\$30,970	\$61,940
7	\$34,930	\$69,860
8	\$38,890	\$77,780
For each additional person, add	\$3,960	\$7,920

Allowable Costs

- Allowable services must meet the federal definition of non-assistance.
- The funds may not be used for assistance. Assistance for federal purposes consists of any payment or benefit designed to meet ongoing basic needs -- food, clothing, shelter, utilities, household goods, personal care items, and general incidental expenses. Assistance also includes supportive services such as transportation or child care provided to unemployed recipients. Assistance paid to a Family Assistance or Non-Cash Safety Net

Assistance/Federal Participation recipient is counted toward the 60-month TANF time limit. Assistance counts toward the support offset.

- Funds may be utilized to provide transportation services only when those services are incidental to the services being provided to TANF-funded public assistance (PA). With regard to transportation services, transportation is permissible for employment or other allowable activities, as long as the program of transportation does not constitute assistance or, if it does constitute assistance, it can only be provided to people receiving PA.
- Funds cannot be used for medical services, Domestic Violence (DV) hotline services, DV outreach/educational services, and capital expenditures.

Cost Allocation

- Costs must be allocated to benefiting programs when more than one program is involved in a particular activity, whether done by local district staff or contractor staff. Cost allocation rules are contained in the Fiscal Reference Manuals and in Federal Circular OMB A-87 for LSSDs; non-profit organizations, other than hospitals and institutions of higher education or those specifically excluded, are governed by OMB Circular A-122; OMB Circular A-21 contains rules for Education Institutions, 48 CFR 31 governs for-profit organizations.

Administrative Costs 15% Rule

- The maximum amount the state can spend on administration is 15 percent against the TANF Block Grant after transfer to other block grants. This limitation applies to the non-residential domestic violence allocation for SFY 2012-13.
- The federal definition of administration is different from the state definition. When TANF costs are claimed to the federal government, they must be claimed according to the federal definition. The final federal regulations exclude direct costs, including salaries and benefits costs for staff providing program services and the direct administrative costs associated with providing these services (e.g., supplies, equipment, travel, postage, utilities, rental costs, and maintenance). For example, the cost of providing diversion benefits and services, providing program information to clients, screening and assessment, development of employability plans, work activities, post-employment services, work supports, and case management services, including those involving child welfare case management, except for performing the eligibility determinations, are all excluded from the definition, and should be claimed as program expenditures. Also excluded are costs for contracts devoted entirely to program activities.
- The revised definition specifically includes contract costs (except those excluded totally or in part as program activities), all indirect and overhead costs, and activities related to eligibility determinations within the definition of administrative costs. The following are examples of administrative costs included in the regulations:
 - Salaries and benefits of staff performing administrative and coordination functions
 - Preparation of program plans, budgets, and schedules
 - Monitoring programs and projects

- Fraud and abuse units
- Procurement activities
- Public relations
- Services related to accounting, litigation, audits, management of property, payroll, and personnel
- Costs for the goods and services required for administration of the program (supplies, equipment, travel postage, utilities, office rent, and maintenance), provided that such costs are not excluded as program services
- Travel costs incurred for official business and not excluded as program costs
- Management information systems not related to tracking and monitoring (such as state payroll staff)
- Preparing reports and other documents

Overhead and A-87 costs must be budgeted for any program for which local district staff is budgeted.

Claiming Instructions (repeated from Pages 2 and 3)

All expenditures against the Non-Residential Domestic Violence Services allocation should be claimed in accordance with the local social services district's Non-Residential Domestic Violence Services approved plan.

Beginning with October 2011 claims, the expenditures for the Non Res DV projects should be claimed through the RF17 claim package for special project claiming. These administrative and/or contracted costs associated with approved plans should be identified as F17 functional costs and reported on the Schedule D "DSS Administrative Expenses Allocation and Distribution by Function and Program (LDSS-2347)" in the F17 column in the RF2A claim package. The individual project costs must also be reported on the LDSS-4975A "RF17 Worksheet, Distribution of Allocated Costs to Other Reimbursable Programs."

Salary and non-salary costs of staff may be direct charged to the RF17 claim package or may be identified by time study. Local district staff not working fulltime on Non-Residential Domestic Violence Services must be time studied and only those related costs should be charged to Non-Residential Domestic Violence Services.

Non-salary administrative costs are reported with the appropriate object of expense(s) on the LDSS-923B Summary-Administrative (page 1) "Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs." Program costs are reported as object of expense 37 - Special Project Program Expense on the LDSS-923B Summary-Program (page 2) "Schedule of Payments for Expenses Other Than Salaries for Other Reimbursable Programs." The expenditures should be entered in the RF-17 Claim Package based on the guidelines noted in Attachment C.

Total project costs and shares should be reported on the LDSS-4975 "Monthly Statement of Special Project Claims Federal and State Aid (RF-17)."

The Schedule D and RF17 claim package are found in Chapters 7 and 18 respectively of the Fiscal Reference Manual (FRM) Volume 3 (Volume 4 for New York City). The FRMs are available on-line at <http://otda.state.ny.net/bfdm/finance/>.

Costs are claimed at the 100% Federal Share for clients in receipt of TANF or who are eligible for TANF with incomes up to 200% of the poverty level. Reimbursement is available up to the limit of the allocation. Costs over the allocation will become regular domestic violence services expenditures, which are subject to 49% state reimbursement net of any available Title XX funds.

Because of federal reporting requirements, the local district must report both program and administrative costs for claiming purposes. TRACS permits the reporting of both types of expenditures on the same form.

Under federal TANF regulations, there is a 15% limitation for costs that may be claimed for administrative activities. Under the same regulations, certain activities that are normally considered administrative are now classified as program costs under these federal rules and, therefore, are not subject to the 15% cap. The guidelines on what costs are administrative and which are non-administrative are noted above.

Claiming Periods (repeated from Page 3)

The funds being announced in this LCM for SFY 2012-13 (DV Round 11) labeled on the RF-17 as Non Res DV 11 must be spent by June 30, 2013, and claimed by August 15, 2013. These funds are to be used to reimburse expenditures beginning October 1, 2011, and ending June 30, 2013, with claims due by August 15, 2013.

Additionally, local districts with unexpended balances of Non-Residential Domestic Violence Services funding from DV 8 (SFY 2009-10) labeled as Non Res DV 8 on TRACS for claims thru September 30, 2011, and on the RF-17 for claims October 2011 forward, and DV 9 (SFY 2010-11) labeled as Non Res DV 9 on TRACS for claims thru September 30, 2011, and on the RF-17 for claims October 2011 forward, and DV 10 (SFY 2011-12 funding) labeled as Non Res DV 10 on TRACS for claims thru September 30, 2011, and on the RF-17 for claims October 2011 forward will have an extended expenditure period to June 30, 2013, with claims due by August 15, 2013. Non Res DV 8, DV 9 and DV 10 allocations should be spent down by those districts with existing balances prior to claiming for Non Res DV 11 monies. For claims prior to October 1, 2011, the claims must be submitted on TRACS.