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Local Commissioners Memorandum

Transmittal:	12-OCFS-LCM-10
To:	Local District Commissioners
Issuing Division/Office:	Administration/Budget Management
Date:	June 1, 2012
Subject:	SFY 2012-13 Social Services Block Grant (Title XX) Allocations
Suggested Distribution:	Accounting Supervisors Directors of Social Services
Contact Person(s):	See page 4
Attachments:	FFY 2011-12 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2012-13 Budget: Attachment A: Adult Protective & Domestic Violence Services Attachment B: All Eligible Title XX Services)
Attachments Available Online:	Yes (as part of this policy), at: http://ocfs.state.nyenet/policies/external

I. Purpose

The purpose of this memorandum is to provide local districts with their Social Services Block Grant (Title XX) allocations for State Fiscal Year (SFY) 2012-13.

II. Background

Each year the federal Department of Health and Human Services grants each state with a capped entitlement known as the Social Services Block Grant (CFDA# 93.667) or Title XX. The national appropriation for Title XX for Federal Fiscal Year (FFY) 2011-12 remains at \$1.7 billion. New York State's share is \$106,102,651, a net decrease of \$1,501,213 from prior year.

Approximately five percent of the total New York State allotment, or \$5,305,133, has been allocated to support training activities for local district and state staff. The remaining amount of \$100,797,518 is allocated to local districts. Chapter 53 of the Laws of 2012 directs that \$66,000,000 be provided for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and the remaining funding of \$34,797,518 is

available to reimburse other eligible Title XX services expenditures. Claims submitted for expenditures from October 1, 2011, through September 30, 2012, will be accepted through March 31, 2013.

III. Other Related SFY 2012-13 Budget Actions

Flexible Fund for Family Services (FFFS): The SFY 2012-13 Enacted Budget appropriates \$964 million, an increase of \$13 million, of Temporary Assistance for Needy Families (TANF) funding to continue FFFS. Under the FFFS, child welfare services may be funded for families that meet the TANF-Emergency Assistance to Families (EAF) eligibility criteria and/or for families with incomes up to 200 percent of the federal poverty level. Districts also have the option to transfer a portion of their FFFS allocations to the Title XX Block Grant. Under federal law, any FFFS funding your district chooses to transfer to the Title XX Block Grant must be used for families and individuals with incomes below 200 percent of the federal income level. Among the programs that can be supported with funds transferred to Title XX from a district's FFFS allocation are the TANF Transfer to Title XX under 200 percent for Preventive, Child Protective, Aftercare and Adoption Services and TANF transfer to Title XX for otherwise eligible Title XX under 200 percent expenditures. Under federal statute, no more than 30 percent of the state's entire TANF block grant may be transferred to the Child Care Development Block Grant (CCDBG) and Title XX combined, with only up to 10 percent of the entire TANF block grant eligible for transfer to Title XX. Under Chapter 53 of the Laws of 2012, for SFY 2012-13 a district may transfer up to 34 percent of its FFFS allocation to the amount of TANF funds that may be transferred to the CCDBG and Title XX combined, with up to 25 percent of its FFFS allocation going to Title XX.

With the establishment of FFFS in SFY 2005-06, district-specific Child Welfare Services thresholds were enacted. For SFY 2012-13, the total combined threshold is \$342,322,341. In order for districts to receive state reimbursement for Child Welfare Services, each district must expend FFFS funds for Title XX under 200 percent, TANF-EAF Child Welfare Services, FFFS Child Welfare Direct Services for families with income below 200 percent, and Administration at a level equal to or greater than that district's portion of the \$342,322,341 statewide Child Welfare Services threshold. If districts do not meet the new child welfare threshold through child welfare expenditures under FFFS and FFFS funds transferred to Title XX, they will not receive 62 percent reimbursement for their other child welfare costs, other than community optional preventive services.

IV. Social Services Block Grant (Title XX) Allocation Methodology

The Title XX allocations provide \$66,000,000 for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and \$34,797,518 for the reimbursement of other eligible Title XX services expenditures for a total of \$100,797,518. The allocation methodology is as follows:

Adult Protective and Domestic Violence Services (Attachment A): Of the \$100,797,518, \$66,000,000 is set aside to provide 100 percent reimbursement to local districts for eligible

Title XX services expenditures for Adult Protective and Domestic Violence Services, incurred on or after October 1, 2011, through September 30, 2012, and claimed by March 31, 2013, for settlement purposes. Allocation of this funding is based on each local district's share of claims for those services, adjusted by the applicable cost allocation methodology and net of any retroactive payments, for the twelve-month period ending June 30, 2011, that was submitted on or before January 3, 2012.

It should be noted that FFFS funds also are available to cover costs eligible for Title XX below 200 percent, if a local district elects to transfer a portion of its FFFS funds allocation to Title XX to fund these services, for Adult Protective and Domestic Violence Services to children and their families with incomes less than 200 percent of the Federal Poverty Income level for the family.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Eligible Adult Protective and Domestic Violence Services expenditures in excess of the allocation are eligible for state reimbursement at the rate of 98 percent of 50 percent (or 49 percent) after any additional federal reimbursement is received.

All Eligible Title XX Services (Attachment B): The remaining funding of \$34,797,518 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2011, through September 30, 2012, and claimed by March 31, 2013, for settlement purposes. The allocation of these funds is based on each local district's claims for eligible Title XX services, adjusted by the applicable cost allocation methodology and net of any retroactive payments for the twelve-month period ending June 30, 2011, that was submitted on or before January 3, 2012. The allocation methodology does not consider Adult Protective Services and Domestic Violence Services, as those claims were considered in allocating the \$66,000,000 set aside mentioned above. The allocation methodology also does not consider claims for Preventive Services, Child Protective Services, Aftercare Services, or Adoption Services (including Post-Adoption Services), as funding for those services was factored into the local district's estimated share of the FFFS allocation. Although the allocation of these Title XX funds did not include claims for Adult Protective Services, Domestic Violence Services or the child welfare services, these funds may be used to reimburse the costs of such services.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Title XX eligible services expenditures, other than Adult Protective Services, Domestic Violence Services and child welfare services, in excess of the allocation are **not** eligible for state reimbursement.

V. Claiming

Expenditures for allocations included in this publication are claimed as follows.

Title XX	Purchased Expenditures	Schedule G	LDSS-1372
	Administrative Expenditures	Schedule D2	LDSS-2347-B
	Training	Schedule D6	LDSS-2347-C

Instructions for claiming purchased expenditures can be found in the Fiscal Reference Manual, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 9 (New York City) and Volume 3, Chapter 9 (Rest of State). Instructions for claiming training expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 13 (New York City) and Volume 3, Chapter 13 (Rest of State). The Fiscal Reference Manuals are available at <http://otda.state.ny.net/bfdm/>.

VI. Information/Questions Contact

Questions pertaining to the allocations may be directed to:

Derek J. Holtzclaw, Director, Bureau of Budget Management, (518) 474-1361
E-mail – Derek.Holtzclaw@ocfs.state.ny.us

Rayana Gonzales, Bureau of Budget Management, (518) 402-3324
E-mail – Rayana.Gonzales@ocfs.state.ny.us

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

FFFS Plan:

Christine Insull, (518) 474-9494
E-mail – Christine.Insull@otda.state.ny.us

Fiscal Claiming:

Regions I-V: Edward Conway, 1-800-343-8859, ext. 4-7549 or (518) 474-7549
E-mail – Edward.Conway@otda.state.ny.us

Region VI: Michael Simon, (212) 961-8245
E-mail – Michael.Simon@otda.state.ny.us

/s/ Mikki Ward-Harper

Issued By:

Name: Mikki Ward-Harper

Title: Acting Deputy Commissioner for Administration

Division/Office: Administration

ATTACHMENT A

Title XX
FFY 2011-2012
Adult Protective and Domestic Violence Services

<u>District</u>	<u>Allocation</u>	<u>District</u>	<u>Allocation</u>
Albany	1,952,350	Ontario	186,632
Allegany	93,960	Orange	1,056,520
Broome	482,371	Orleans	81,515
Cattaraugus	172,828	Oswego	336,666
Cayuga	164,809	Otsego	156,600
Chautauqua	293,371	Putnam	122,307
Chemung	264,432	Rensselaer	349,118
Chenango	104,755	Rockland	940,663
Clinton	261,373	St. Lawrence	268,575
Columbia	203,172	Saratoga	225,784
Cortland	169,554	Schenectady	538,934
Delaware	320,159	Schoharie	155,272
Dutchess	1,202,956	Schuyler	50,285
Erie	3,185,681	Seneca	88,831
Essex	133,390	Steuben	263,343
Franklin	176,150	Suffolk	2,946,579
Fulton	134,850	Sullivan	184,542
Genesee	153,833	Tioga	116,399
Greene	151,473	Tompkins	270,494
Hamilton	5,935	Ulster	802,524
Herkimer	119,309	Warren	152,148
Jefferson	265,792	Washington	166,932
Lewis	69,776	Wayne	164,749
Livingston	200,539	Westchester	3,901,725
Madison	216,338	Wyoming	43,223
Monroe	1,486,071	Yates	50,653
Montgomery	106,843		
Nassau	2,051,527	Upstate	29,536,298
Niagara	424,603		
Oneida	385,543	New York City	36,463,702
Onondaga	961,542		
		Statewide Totals	66,000,000

ATTACHMENT B

**Title XX
FFY 2011-2012
All Eligible Title XX Services**

<u>District</u>	<u>Allocation</u>	<u>District</u>	<u>Allocation</u>
Albany	440,384	Ontario	120,513
Allegany	61,216	Orange	362,575
Broome	185,572	Orleans	31,407
Cattaraugus	60,317	Oswego	156,833
Cayuga	60,303	Otsego	56,419
Chautauqua	134,352	Putnam	59,484
Chemung	95,309	Rensselaer	115,825
Chenango	45,717	Rockland	349,118
Clinton	128,409	St. Lawrence	100,154
Columbia	93,399	Saratoga	88,387
Cortland	61,075	Schenectady	194,904
Delaware	54,597	Schoharie	61,998
Dutchess	376,993	Schuyler	26,328
Erie	835,385	Seneca	43,784
Essex	46,827	Steuben	113,100
Franklin	77,913	Suffolk	1,288,722
Fulton	46,930	Sullivan	83,403
Genesee	52,980	Tioga	54,060
Greene	62,194	Tompkins	123,091
Hamilton	4,983	Ulster	246,197
Herkimer	51,833	Warren	46,801
Jefferson	113,505	Washington	68,343
Lewis	25,650	Wayne	62,589
Livingston	93,693	Westchester	2,352,934
Madison	70,175	Wyoming	32,742
Monroe	459,527	Yates	31,113
Montgomery	43,273		
Nassau	3,169,270	Upstate	13,978,809
Niagara	152,265		
Oneida	149,275	New York City	20,818,709
Onondaga	454,664		
		Statewide Totals	34,797,518