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NEW YORK STATE
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Local Commissioners Memorandum

Transmittal:	11-OCFS-LCM-02
To:	Local District Commissioners
Issuing Division/Office:	Administration / Budget Management
Date:	May 11, 2011
Subject:	SFY 2011-12 Social Services Block Grant (Title XX) Allocations
Suggested Distribution:	Accounting Supervisors Directors of Social Services
Contact Person(s):	See pages 4-5
Attachments:	FFY 2010-11 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2011-12 Budget Attachment A: Adult Protective & Domestic Violence Services; Attachment B: All Eligible Title XX Services
Attachments Available Online:	Yes - only as an attachment to this policy: http://www.ocfs.state.ny.us/main/policies/external

I. Purpose

The purpose of this memorandum is to provide local districts with their Social Services Block Grant (Title XX) allocations for State Fiscal Year (SFY) 2011-12.

II. Background

The national appropriation for Title XX for Federal Fiscal Year (FFY) 2010-11 is \$1.7 billion. New York State's share is \$107,603,864.

Approximately five percent of the total New York State allotment, or \$5,380,193, has been allocated to support training activities for local district and state staff. The remaining amount of \$102,223,671 is allocated to local districts. Chapter 53 of the Laws of 2011 directs that \$66,000,000 be provided for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services, and the remaining funding of \$36,223,671 is available to reimburse other eligible Title XX services expenditures. Claims submitted for expenditures from October 1, 2010, through September 30, 2011, will be accepted through March 31, 2012.

III. Other Related SFY 2011-12 Budget Actions

Flexible Fund for Family Services (FFFS): The SFY 2011-12 Enacted Budget appropriates \$951 million of Temporary Assistance for Needy Families (TANF) funding to continue FFFS. Prior to the establishment of FFFS in SFY 2005-06, three separate appropriations were made: for TANF Emergency Assistance to Families (EAF) child welfare services; for TANF transfer to Title XX for child welfare; and for other Title XX services. Those separate appropriations do not exist for SFY 2011-12. Instead, the FFFS provides districts with the flexibility to determine local funding priorities. Districts have greater flexibility in how they may use FFFS directly for child welfare services. Under the FFFS, child welfare services may be funded for families that meet the TANF EAF eligibility criteria and/or for families with incomes up to 200 percent of the federal poverty level. Districts also have the option to transfer a portion of their FFFS allocations to the Title XX Block Grant. Under federal law, any FFFS funding your district chooses to transfer to the Title XX Block Grant must be used for families and individuals with incomes below 200 percent of the federal income level. Among the programs that can be supported with funds transferred to Title XX from a district's FFFS allocation are the TANF Transfer to Title XX under 200 percent for Preventive, Child Protective, Aftercare and Adoption Services, and TANF transfer to Title XX for otherwise eligible Title XX under 200 percent expenditures. Under federal statute, no more than 30 percent of the state's entire TANF block grant may be transferred to the Child Care Development Block Grant (CCDBG) and Title XX combined, with only up to 10 percent of the entire TANF block grant eligible for transfer to Title XX. Under Chapter 53 of the Laws of 2011, for SFY 2011-12 a district may transfer up to 34 percent of its FFFS allocation to the amount of TANF funds that may be transferred to the CCDBG and Title XX combined, with up to 25 percent of its FFFS allocation going to Title XX.

With the establishment of FFFS in SFY 2005-06, district-specific Child Welfare Services thresholds were enacted. For SFY 2011-12, the total combined threshold is \$342,322,341. In order for districts to receive state reimbursement for Child Welfare Services, each district must expend FFFS funds for Title XX under 200 percent, TANF-EAF Child Welfare Services, FFFS Child Welfare Direct Services for families with income below 200 percent, and Administration at a level equal to or greater than that district's portion of the \$342,322,341 statewide Child Welfare Services threshold. If districts do not meet the new child welfare threshold through child welfare expenditures under FFFS and FFFS funds transferred to Title XX, they will not receive 95.4 percent of 65 percent (or 62 percent)

reimbursement for their other child welfare costs, other than community optional preventive services.

IV. Social Services Block Grant (Title XX) Allocation Methodology

The Title XX allocations provide \$66,000,000 for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and \$36,223,671 for the reimbursement of other eligible Title XX services expenditures, for a total of \$102,223,671. The allocation methodology is as follows:

Adult Protective and Domestic Violence Services (Attachment A): Of the \$102,223,671, \$66,000,000 is set aside to provide 100 percent reimbursement to local districts for eligible Title XX services expenditures for Adult Protective and Domestic Violence Services, incurred on or after October 1, 2010, through September 30, 2011, and claimed by March 31, 2012, for settlement purposes. Allocation of this funding is based on each local district's share of claims for those services, adjusted by the applicable cost allocation methodology and net of any retroactive payments, for the twelve-month period ending June 30, 2010, that were submitted on or before January 3, 2011.

It should be noted that FFFS funds also are available to cover costs eligible for Title XX below 200 percent, if a local district elects to transfer a portion of its FFFS funds allocation to Title XX to fund these services, for Adult Protective and Domestic Violence Services to children and their families with incomes less than 200 percent of the Federal Poverty Income level for the family.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Eligible Adult Protective and Domestic Violence Services expenditures in excess of the allocation are eligible for state reimbursement at the rate of 98 percent of 50 percent (or 49 percent) after any additional federal reimbursement is received.

All Eligible Title XX Services (Attachment B): The remaining funding of \$36,223,671 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2010, through September 30, 2011, and claimed by March 31, 2012, for settlement purposes. The allocation of these funds is based on each local district's claims for eligible Title XX services, adjusted by the applicable cost allocation methodology and net of any retroactive payments for the twelve-month period ending June 30, 2010, that were submitted on or before January 3, 2011. The allocation methodology does not consider Adult Protective Services and Domestic Violence Services, as those claims were considered in allocating the \$66,000,000 set-aside mentioned above. The allocation methodology also does not consider claims for Preventive Services, Child Protective Services, Aftercare Services, or Adoption Services (including Post-Adoption Services), as funding for those services was factored into the local district's estimated share of the FFFS allocation. Although the allocation of these Title XX funds did not include claims for Adult Protective Services,

Domestic Violence Services or the child welfare services, these funds may be used to reimburse the costs of such services.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Title XX eligible services expenditures, other than Adult Protective Services, Domestic Violence Services and child welfare services, in excess of the allocation are **not** eligible for state reimbursement.

V. Claiming

Expenditures for allocations included in this publication are claimed as follows:

Title XX	Purchased Expenditures	Schedule G	LDSS-1372
	Administrative Expenditures	Schedule D2	LDSS-2347-B
	Training	Schedule D6	LDSS-2347-C

Instructions for claiming purchased expenditures can be found in the Fiscal Reference Manual, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 9 (New York City) and Volume 3, Chapter 9 (Rest of State). Instructions for claiming training expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 13 (New York City) and Volume 3, Chapter 13 (Rest of State). The Fiscal Reference Manuals are available at <http://otda.state.nyenet/bfdm/>.

VI. Information/Questions Contacts

Questions pertaining to the allocations may be directed to:

Derek J. Holtzclaw, Director, Bureau of Resource Management, (518) 474-1361
E-mail – Derek.Holtzclaw@ocfs.state.ny.us

Rayana Gonzales, Bureau of Budget Management, (518) 402-3324
E-mail – Rayana.Gonzales@ocfs.state.ny.us

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

FFFS Plan:

Christine Insull, (518) 474-9494
E-mail – Christine.Insull@otda.state.ny.us

Fiscal Claiming:

Regions I-V: Edward Conway, 1-800-343-8859, ext. 4-7549 or (518) 474-7549
E-mail – Edward.Conway@otda.state.ny.us

Region VI: Michael Simon, (212) 961-8245
E-mail – Michael.Simon@otda.state.ny.us

/s/ Edna Mae Reilly

Issued By:

Name: Edna Mae Reilly

Title: Acting Deputy Commissioner for Administration

Division/Office: Administration

ATTACHMENT A

**Title XX
FFY 2010-2011
Adult Protective and Domestic Violence Services**

District	Allocation	District	Allocation
Albany	\$1,487,936	Ontario	\$154,703
Allegany	85,519	Orange	868,390
Broome	385,388	Orleans	70,737
Cattaraugus	128,443	Oswego	265,537
Cayuga	152,113	Otsego	136,192
Chautauqua	243,620	Putnam	95,216
Chemung	210,411	Rensselaer	283,487
Chenango	87,168	Rockland	753,600
Clinton	225,036	St. Lawrence	216,602
Columbia	190,139	Saratoga	168,021
Cortland	130,630	Schenectady	432,020
Delaware	295,143	Schoharie	125,180
Dutchess	843,244	Schuyler	45,542
Erie	2,345,130	Seneca	74,841
Essex	109,790	Steuben	184,900
Franklin	149,979	Suffolk	2,440,466
Fulton	119,174	Sullivan	180,281
Genesee	127,517	Tioga	100,146
Greene	126,336	Tompkins	214,839
Hamilton	4,491	Ulster	671,337
Herkimer	99,089	Warren	126,600
Jefferson	231,803	Washington	129,842
Lewis	57,708	Wayne	129,970
Livingston	170,580	Westchester	2,960,080
Madison	167,811	Wyoming	33,499
Monroe	1,225,424	Yates	38,598
Montgomery	94,587		
Nassau	1,746,876	Upstate	\$23,585,541
Niagara	283,083		
Oneida	343,874	New York City	\$42,414,459
Onondaga	816,873		
		Statewide Totals	\$66,000,000

ATTACHMENT B

**Title XX
FFY 2010-2011
All Eligible Title XX Services**

District	Allocation	District	Allocation
Albany	\$381,917	Ontario	\$101,540
Allegany	49,485	Orange	387,309
Broome	166,645	Orleans	34,468
Cattaraugus	108,372	Oswego	152,859
Cayuga	53,985	Otsego	57,124
Chautauqua	136,472	Putnam	57,734
Chemung	97,809	Rensselaer	120,968
Chenango	38,318	Rockland	375,685
Clinton	116,361	St. Lawrence	94,295
Columbia	97,332	Saratoga	90,315
Cortland	53,535	Schenectady	184,874
Delaware	52,942	Schoharie	48,672
Dutchess	358,280	Schuyler	28,216
Erie	792,280	Seneca	44,137
Essex	43,812	Steuben	111,348
Franklin	70,493	Suffolk	1,256,568
Fulton	47,726	Sullivan	84,527
Genesee	52,966	Tioga	62,903
Greene	67,549	Tompkins	135,056
Hamilton	3,943	Ulster	280,962
Herkimer	47,245	Warren	52,759
Jefferson	108,216	Washington	82,547
Lewis	23,988	Wayne	58,783
Livingston	92,703	Westchester	2,474,736
Madison	63,914	Wyoming	26,917
Monroe	507,186	Yates	26,805
Montgomery	42,060		
Nassau	2,906,980	Upstate	\$13,819,411
Niagara	156,415		
Oneida	170,683	New York City	\$22,404,260
Onondaga	477,692		
		Statewide Totals	\$36,223,671