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## Local Commissioners Memorandum

<b>Transmittal:</b>	09-OCFS-LCM-03
<b>To:</b>	Local District Commissioners
<b>Issuing Division/Office:</b>	Administration/Budget Management
<b>Date:</b>	April 30, 2009
<b>Subject:</b>	<b>SFY 2009-10 Social Services Block Grant (Title XX) Allocations</b>
<b>Suggested Distribution:</b>	Accounting Supervisors Directors of Social Services
<b>Contact Person(s):</b>	See pages 4 & 5
<b>Attachments:</b>	FFY 2008-09 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2009-10 Budget (Attachment A: Adult Protective & Domestic Violence Services; Attachment B: All Eligible Title XX Services)
<b>Attachments Available Online:</b>	Yes

### I. Purpose

The purpose of this memorandum is to provide local districts with their Social Services Block Grant (Title XX) allocations for State Fiscal Year (SFY) 2009-10.

### II. Background

The national appropriation for Title XX for Federal Fiscal Year (FFY) 2008-09 is \$1.7 billion. New York State's share is \$108,159,098.

Five percent of the total New York State allotment, or \$5,407,955, has been allocated to support training activities for local district and state staff. The remaining amount of \$102,751,143 is allocated to local districts. Chapter 53 of the Laws of 2009 directs that \$66,000,000 be provided for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and the remaining funding of \$36,751,143 is available to reimburse other eligible Title XX services expenditures. Claims submitted for

expenditures from October 1, 2008, through September 30, 2009, will be accepted through March 31, 2010.

### **III. Other Related SFY 2009-10 Budget Actions**

Flexible Fund for Family Services (FFFS): The SFY 2009-10 Enacted Budget appropriates \$964.6 million of Temporary Assistance for Needy Families (TANF) funding to continue FFFS. Prior to the establishment of FFFS in SFY 2005-06, three separate appropriations were made for TANF Emergency Assistance to Families (EAF) child welfare services and for TANF transfer to Title XX for child welfare and for other Title XX services. Those separate appropriations do not exist for SFY 2009-10. Instead, the FFFS provides districts with the flexibility to determine local funding priorities. Districts have greater flexibility in how they may use FFFS directly for child welfare services. Under the FFFS, child welfare services may be funded for families that meet the TANF EAF eligibility criteria and/or for families with incomes up to 200 percent of the federal poverty level. Districts also have the option to transfer a portion of their FFFS allocations to the Title XX Block Grant. Under federal law, any FFFS funding your district chooses to transfer to the Title XX Block Grant must be used for families and individuals with incomes below 200 percent of the federal income level. Among the programs that can be supported with funds transferred to Title XX from a district's FFFS allocation are the TANF Transfer to Title XX under 200 percent for Preventive, Child Protective, Aftercare and Adoption Services and TANF transfer to Title XX for otherwise eligible Title XX under 200 percent expenditures. Under federal statute, no more than 30 percent of the state's entire TANF block grant may be transferred to the Child Care Development Block Grant (CCDBG) and Title XX combined, with only up to 10 percent of the entire TANF block grant eligible for transfer to Title XX. Under the SFY 2009-10 Enacted Budget, this means that a district may transfer up to 33 percent of its FFFS allocation to the amount of TANF funds that may be transferred to the CCDBG and Title XX combined, with up to 25 percent of its FFFS allocation going to Title XX.

With the establishment of FFFS in SFY 2005-06, district-specific Child Welfare Services thresholds were enacted. In SFY 2009-10, the total combined threshold is increased to \$342,322,341. This increase is due to the addition of the Local Administration Funds to FFFS. In order for districts to receive state reimbursement for Child Welfare Services, each district must expend FFFS funds for Title XX Under 200 percent, TANF-EAF Child Welfare Services, FFFS Child Welfare Direct Services for families with income below 200 percent, and Administration at a level equal to or greater than that district's portion of the \$342,322,341 statewide Child Welfare Services threshold. If districts do not meet the new child welfare threshold through child welfare expenditures under FFFS and FFFS funds transferred to Title XX, they will not receive 98% of 65% reimbursement for their other child welfare costs, other than community optional preventive services.

### **IV. Social Services Block Grant (Title XX) Allocation Methodology**

The Title XX allocations provide \$66,000,000 for the reimbursement of local district

expenditures for Adult Protective and Domestic Violence Services and \$36,751,143 for the reimbursement of other eligible Title XX services expenditures for a total of \$102,751,143. The allocation methodology is as follows:

**Adult Protective and Domestic Violence Services (Attachment A):** Of the \$102,751,143, \$66,000,000 is set aside to provide 100 percent reimbursement to local districts for eligible Title XX services expenditures for Adult Protective and Domestic Violence Services, incurred on or after October 1, 2008, through September 30, 2009, and claimed by March 31, 2010, for settlement purposes. Allocation of this funding is based on each local district's share of claims for those services, adjusted by the applicable cost allocation methodology and net of any retroactive payments, for the twelve-month period ending June 30, 2008, that were submitted on or before January 2, 2009.

It should be noted that FFFS funds also are available to cover costs eligible for Title XX below 200 percent, if a local district elects to transfer a portion of its FFFS funds allocation to Title XX to fund these services, for Adult Protective and Domestic Violence Services to children and their families with incomes less than 200 percent of the Federal Poverty Income level for the family.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Eligible Adult Protective and Domestic Violence Services expenditures in excess of the allocation are eligible for state reimbursement at the rate of 98 percent of 50 percent (or 49 percent) after any additional federal reimbursement is received.

**All Eligible Title XX Services (Attachment B):** The remaining funding of \$36,751,143 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2008, through September 30, 2009, and claimed by March 31, 2010, for settlement purposes. The allocation of these funds is based on each local district's claims for eligible Title XX services, adjusted by the applicable cost allocation methodology and net of any retroactive payments for the twelve-month period ending June 30, 2008, that were submitted on or before January 2, 2009. The allocation methodology does not consider Adult Protective Services and Domestic Violence Services, as those claims were considered in allocating the \$66,000,000 set aside mentioned above. The allocation methodology also does not consider claims for Preventive Services, Child Protective Services, Aftercare Services, or Adoption Services (including Post-Adoption Services), as funding for those services was factored into the local district's estimated share of the FFFS allocation. Although the allocation of these Title XX funds did not include claims for Adult Protective Services, Domestic Violence Services or the child welfare services, these funds may be used to reimburse the costs of such services.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Title XX eligible services expenditures, other than Adult Protective Services, Domestic Violence Services and child welfare services, in excess of the allocation are **not** eligible for state reimbursement.

**V. Claiming**

Expenditures for allocations included in this publication are claimed as follows.

Title XX	Purchased Expenditures	Schedule G	LDSS-1372
	Administrative Expenditures	Schedule D2	LDSS-2347-B
	Training	Schedule D6	LDSS-2347-C

Instructions for claiming purchased expenditures can be found in the Fiscal Reference Manual, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 9 (New York City) and Volume 3, Chapter 9 (Rest of State). Instructions for claiming training expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 13 (New York City) and Volume 3, Chapter 13 (Rest of State). The Fiscal Reference Manuals are accessible to local districts and sister agencies at the following intranet site address: <http://otda.state.nyenet/bfdm/>.

**VI. Information/Questions Contact**

Questions pertaining to the allocations may be directed to:

Deborah J. Hanor, Director, Bureau of Budget Management, (518) 474-1361  
E-mail – [Deborah.Hanor@ocfs.state.ny.us](mailto:Deborah.Hanor@ocfs.state.ny.us)

Andrea M. Sweda, Assistant Director, Bureau of Budget Management, (518) 402-3324  
E-mail – [Andrea.Sweda@ocfs.state.ny.us](mailto:Andrea.Sweda@ocfs.state.ny.us)

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

**FFFS Plan:**

Jane Wagner, (518) 402-3599  
E-mail – [Jane.Wagner@otda.state.ny.us](mailto:Jane.Wagner@otda.state.ny.us)

Susanne Haag, (518) 486-6291  
E-mail – [Susanne.Haag@otda.state.ny.us](mailto:Susanne.Haag@otda.state.ny.us)

**Fiscal Claiming:**

Regions I-IV: James Carroll 1-800-343-8859, ext. 4-7576 or (518) 474-7576  
E-mail – [James.Carroll@otda.state.ny.us](mailto:James.Carroll@otda.state.ny.us)

Region V: Michael Borenstein, (631) 854-9704  
E-mail – [Michael.Borenstein@otda.state.ny.us](mailto:Michael.Borenstein@otda.state.ny.us)

Region VI: Marian Borenstein, (212) 961-8250  
E-mail – [Marian.Borenstein@otda.state.ny.us](mailto:Marian.Borenstein@otda.state.ny.us)

*/s/ Thomas S. Tipple*

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**Issued By:**

Name: Thomas S. Tipple  
Title: Deputy Commissioner  
Division/Office: Administration

**ATTACHMENT A**

**Title XX  
FFY 2008-2009  
Adult Protective and Domestic Violence Services**

<b>District</b>	<b>Allocation</b>	<b>District</b>	<b>Allocation</b>
Albany	\$1,475,037	Ontario	\$161,632
Allegany	97,340	Orange	877,157
Broome	409,567	Orleans	75,965
Cattaraugus	131,439	Oswego	230,648
Cayuga	142,659	Otsego	162,768
Chautauqua	271,074	Putnam	100,453
Chemung	220,443	Rensselaer	289,458
Chenango	89,492	Rockland	869,209
Clinton	243,594	St. Lawrence	238,525
Columbia	170,938	Saratoga	188,920
Cortland	132,751	Schenectady	517,134
Delaware	282,902	Schoharie	115,724
Dutchess	847,024	Schuyler	62,861
Erie	3,097,337	Seneca	74,758
Essex	123,621	Steuben	185,297
Franklin	159,574	Suffolk	2,935,962
Fulton	135,179	Sullivan	187,947
Genesee	142,845	Tioga	104,124
Greene	142,812	Tompkins	248,486
Hamilton	3,743	Ulster	554,225
Herkimer	108,709	Warren	143,032
Jefferson	238,816	Washington	140,300
Lewis	58,186	Wayne	141,417
Livingston	176,040	Westchester	3,565,135
Madison	171,481	Wyoming	38,750
Monroe	1,192,695	Yates	40,036
Montgomery	91,669		
Nassau	1,838,169	Upstate	26,121,390
Niagara	385,848		
Oneida	343,607	New York City	39,878,610
Onondaga	946,876		
		Statewide Totals	\$66,000,000

**ATTACHMENT B**

**Title XX  
FFY 2008-2009  
All Eligible Title XX Services**

<b>District</b>	<b>Allocation</b>	<b>District</b>	<b>Allocation</b>
Albany	\$344,213	Ontario	\$85,678
Allegany	33,947	Orange	285,491
Broome	125,472	Orleans	28,235
Cattaraugus	49,674	Oswego	77,557
Cayuga	50,187	Otsego	46,541
Chautauqua	104,618	Putnam	74,522
Chemung	75,162	Rensselaer	91,893
Chenango	29,443	Rockland	243,043
Clinton	108,334	St. Lawrence	78,276
Columbia	61,596	Saratoga	75,964
Cortland	43,331	Schenectady	216,320
Delaware	54,090	Schoharie	65,429
Dutchess	309,550	Schuyler	28,776
Erie	707,926	Seneca	35,303
Essex	37,563	Steuben	90,956
Franklin	51,480	Suffolk	1,369,926
Fulton	37,031	Sullivan	84,853
Genesee	43,087	Tioga	47,292
Greene	61,520	Tompkins	90,865
Hamilton	3,004	Ulster	211,593
Herkimer	43,124	Warren	52,521
Jefferson	98,026	Washington	59,689
Lewis	19,426	Wayne	48,799
Livingston	90,476	Westchester	1,744,247
Madison	47,797	Wyoming	23,766
Monroe	520,493	Yates	18,842
Montgomery	27,758		
Nassau	3,049,701	Upstate	12,113,985
Niagara	115,724		
Oneida	127,413	New York City	24,637,158
Onondaga	366,442		
		Statewide Totals	\$36,751,143