



**NEW YORK STATE
OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE**
40 NORTH PEARL STREET
ALBANY, NY 12243-0001

Eliot Spitzer
Governor

David A. Hansell
Commissioner

Informational Letter

Section 1

Transmittal:	07-INF-08
To:	Local District Commissioners
Issuing Division/Office:	Bureau of Audit and Quality Improvement (A&QI)
Date:	June 25, 2007
Subject:	Lottery Intercept Reporting to Local Districts
Suggested Distribution:	Temporary Assistance Directors Accounting Supervisors Fraud Investigators
Contact Person(s):	<p><u>For Program Questions:</u> Leonard Tremblay Lottery Intercept Project Manager Phone: (518) 486-1071 FAX: (518) 402-0121 E-Mail: LEONARD.TREMBLAY@OTDA.STATE.NY.US</p> <p><u>For CAMS Questions:</u></p> <p>Regions 1-4 Carolyn Oleyourryk Phone: 1-500-343-8859 Ext. 47549 FAX: (518) 486-6350 E-Mail: CAROLYN.OLEYOURRYK@OTDA.STATE.NY.US</p> <p>Region 5 Michael Borenstein Phone: (631) 854-9704 FAX: (212) 961-8244 E-Mail: MICHAEL.BORENSTEIN@OTDA.STATE.NY.US</p> <p>Region 6 Marian Borenstein Phone: (212) 961-8250 FAX: (212) 961-8244 E-Mail: MARIAN.BORENSTEIN@OTDA.STATE.NY.US</p>
Attachments:	Attachment 1 - Benefit Issuance Control System Cover Letter Attachment 2 - Lottery Intercept Summary Report Attachment 3 - Lottery Intercept Payment Detail Report

Attachment Available On – Line:	yes
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Filing References

Previous ADMs/INFs	Releases Cancelled	Dept. Regs.	Soc. Serv. Law & Other Legal Ref.	Manual Ref.	Misc. Ref.
96 LCM-85		18 NYCRR 306 & 369	SSL § 131-r & 20(3)(d) & 34(3)(f) & 104		

Section 2

I. Purpose

The purpose of this Informational Letter (INF) is to inform local social services districts of the newly-developed format for the monthly reporting of lottery intercepts.

II. Background

The Lottery Intercept Match program began in April 1996 in accordance with Social Services Law, Section 131-r. The law states, "Any person who is receiving or has received, within the previous ten years, public assistance pursuant to the provisions of this article and who wins a lottery prize of six hundred dollars or more shall reimburse the department from the winnings, for all such public assistance benefits paid to such person during the previous ten years; provided, however, that such crediting to the department shall in no event exceed fifty percent of the amount of the lottery prize."

On a monthly basis, a tape containing the Temporary Assistance (TA) obligation of everyone who received TA within the past ten years is sent to the State Lottery Office. The Lottery Office uses this tape to match lottery winners, as well as winners at State-run gaming facilities, and intercepts prize winnings to offset their TA obligation. The Lottery Office will withhold from the lottery or gaming prize up to one half of the gross prize amount during a calendar month and send a tape to the Office of Temporary and Disability Assistance (OTDA) that contains all the individuals who had prize money intercepted.

Currently, each district receives an initial report of the intercepts through the Benefit Issuance Control System (BICS). This report contains the amounts and the names of individuals having lottery funds intercepted that affect their district. Local districts also receive a follow-up report from the OTDA Bureau of Audit & Quality Improvement/Program Integrity Unit. The follow-up report is to adjust, when appropriate, the amount reported through BICS. The adjustments reflect the amount of the intercepted dollars that were credited to another county because the client received assistance in the other county prior to the lottery intercept. Intercepted dollars are credited based on the oldest TA debt first.

III. Program Implications

Beginning with the June 2007 lottery report that you will receive in July 2007, the format of the BICS report will change. Your district will no longer receive a separate report from the Bureau of Audit & Quality Improvement/Program Integrity Unit. The new BICS format will reflect the proper offset to pay back the oldest TA debt first to the appropriate district.

The lottery information will be sent to you via the district's BICS queue and will include a cover letter and two reports.

The cover letter (Attachment 1) will indicate the number of intercepts for your district. Each district will receive this letter. If your district had zero intercepts this letter will reflect the zero intercepts and no other reports will be included.

The Lottery Intercept Summary Report (Attachment 2) will contain two lines of information for each individual with a lottery intercept that affects your district.

Line one will contain the individual's:

- Social Security Number
- TA obligation
- Gross dollars intercepted
- Net proceeds (the gross dollars intercepted less amounts transferred to other district(s))
- Dates of applied payments
- Amount intercepted for other districts
- Net paid to client
- Total prize
- An indicator for previous lottery intercept(s)
- A Cash Management System (CAMS) indicator

Line two of the report will consist of the offset by case type(s).

If there is a CAMS indicator on the Summary Report, there may be overlapping dates between CAMS and the payments being offset by the lottery intercept. Each district will need to review the CAMS Report. If there is an overlapping period and the CAMS case has been repaid, partially or in full, contact Leonard Tremblay to discuss the overlapping repayments.

Individuals may also reference the CAMS manual at:

http://otda.state.nyenet/bfdm/finance/CAMS_Manual.asp

The Lottery Intercept Payment Detail Report (Attachment 3) will consist of a complete payment history within your district for each individual from the current Lottery Intercept Summary Report. The payment history report will indicate which paylines are being offset by this intercept.

The report should be available monthly on the first Monday morning that falls from the 12th to 18th. This will replace the BICS report that you currently receive on or about the 5th of every month. For upstate districts, the report will be titled "LOT130." For NYC, the new reports will be delivered and titled as they are currently.

Each local district must create its own internal distribution list for those people who need or are interested in the monthly report.

Our current process of notification on the status of client appeals remains unchanged. Your district will be notified at the beginning of each month of any individual who has won an appeal and received a refund of their intercepted lottery winnings. This report will be sent to the lottery coordinators in your district as is currently being done.

Issued By

Name: James White
Title: Director
Division/Office: Bureau of Audit & Quality Improvement



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ATTACHMENT 1

February 7, 2007

DIST: ABCD

To Whom It May Concern:

During the month of January 2007, your district had 0004 lottery intercept(s). Details of these intercepts are shown in the enclosed two reports:

- The Lottery Intercept Summary Report shows final gross dollars intercepted listed by client SSN for the month of January 2007.
- The Lottery Intercept Detail Payment Report lists the temporary assistance (TA) payments for each individual and will appear on the page(s) following the Lottery Intercept Summary Report.

Intercepted funds were applied to the winner's prorated share of the oldest TA debt reflected in the lottery intercept payment history file beginning 2/1/97.

The amount of the intercepted funds will also be provided to the Office of Financial Management/Bureau of Local District Operations so that the bottom line adjustments to the RF2/2A State Share Settlement can be processed.

If you have any questions, please call me at (518) 486-1071.

Sincerely,

Leonard E. Tremblay
Lottery Intercept Project Manager
Program Integrity Unit

ATTACHMENT 2

DATE 03/07/2007

LOTTERY INTERCEPT SUMMARY REPORT FOR 01/01/2007 THROUGH 01/31/2007

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DIST	SSN	PRORATED SHARE OF TA OBLIGATION	GROSS DOLLARS INTERCEPTED	NET PROCEEDS	DATES OF APPLIED PAYMENTS	INTERCEPTED FOR OTHER DISTRICTS	NET PAID TO CLIENT	TOTAL PRIZE	PREV WIN	CAMS
				FA/ADC	SNAFP/ADCU	PG/ADC	SNA/HR	SNA/FNP	EAA	EAF
00	123456789	\$1,286.00	\$331.25	\$331.25 \$0.00	060506-070106 \$0.00	\$0.00 \$0.00	\$331.25 \$331.25	\$0.00 \$0.00	\$662.50 \$0.00	
00	234567890	\$476.00	\$400.00	\$400.00 \$400.00	110101-011802 \$0.00	\$0.00 \$0.00	\$400.00 \$0.00	\$0.00 \$0.00	\$800.00 \$0.00	Y
00	345678901	\$1,500.00	\$500.00	\$500.00 \$209.29	050198-021100 \$0.00	\$0.00 \$0.00	\$500.00 \$0.00	\$0.00 \$0.00	\$1,000.00 \$0.00	\$290.71
00	456789012	\$512.00	\$301.25	\$301.25 \$0.00	101701-102501 \$0.00	\$0.00 \$0.00	\$301.25 \$0.00	\$0.00 \$0.00	\$602.50 \$0.00	\$301.25
GRAND TOTAL		\$3,774.00	\$1,532.50	\$1,532.50 \$609.29	\$0.00 \$0.00	\$0.00 \$0.00	\$0.00 \$331.25	\$1,532.50 \$0.00	\$3,065.00 \$0.00	\$591.96

ATTACHMENT 3

DATE 02/10/2007

NEW YORK STATE OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE

PAGE 1

DISTRICT 00 ABCD		LOTTERY INTERCEPT PAYMENT DETAIL REPORT									
SSN	CASE NUMBER	CASE TYPE	PAYMENT TYPE	CHECK DATE	CHECK NUMBER	BENEFIT AMOUNT	IND. ACTIVE IN CASE	PRORATED AMOUNT	PREVIOUSLY RECOUPED	NEW RECOUPMENT	RECOUP STATUS
123456789	WWWWWWW	16	69	06/05/06	240000235649	\$157.44	1	\$157.44	\$0.00	\$157.44	2-FULL
	WWWWWWW	16	06	06/16/06	240000235837	\$157.44	1	\$157.44	\$0.00	\$157.44	2-FULL
	WWWWWWW	16	05	07/01/06	240000236155	\$162.00	1	\$162.00	\$0.00	\$16.37	1-PART
	WWWWWWW	16	05	07/16/06	240000236590	\$162.00	1	\$162.00	\$0.00	\$0.00	0-NONE
	WWWWWWW	16	05	08/01/06	240000236909	\$162.00	1	\$162.00	\$0.00	\$0.00	0-NONE
	WWWWWWW	16	05	08/16/06	240000237349	\$162.00	1	\$162.00	\$0.00	\$0.00	0-NONE
	WWWWWWW	16	05	09/01/06	240000237862	\$162.00	1	\$162.00	\$0.00	\$0.00	0-NONE
	WWWWWWW	16	05	09/16/06	240000238274	\$162.00	1	\$162.00	\$0.00	\$0.00	0-NONE
234567890	XXXXXXXX	11	69	10/03/01	240000160156	\$270.00	3	\$90.00	\$90.00	\$0.00	2-FULL
	XXXXXXXX	11	69	10/16/01	240000160157	\$75.00	3	\$25.00	\$25.00	\$0.00	2-FULL
	XXXXXXXX	11	52	10/17/01	283396	\$780.00	3	\$260.00	\$260.00	\$0.00	2-FULL
	XXXXXXXX	11	06	10/19/01	240000180519	\$356.00	3	\$118.66	\$118.66	\$0.00	2-FULL
	XXXXXXXX	11	05	11/01/01	240000180800	\$93.00	3	\$31.00	\$6.34	\$24.66	2-FULL
	XXXXXXXX	11	10	11/05/01	284135	\$195.00	3	\$65.00	\$0.00	\$65.00	2-FULL
	XXXXXXXX	11	05	11/16/01	240000181354	\$93.00	3	\$31.00	\$0.00	\$31.00	2-FULL
	XXXXXXXX	11	E7	11/30/01	285032	\$53.37	3	\$17.79	\$0.00	\$17.79	2-FULL
	XXXXXXXX	11	58	11/30/01	285032	\$36.09	3	\$12.03	\$0.00	\$12.03	2-FULL
	XXXXXXXX	11	10	12/01/01	284845	\$195.00	3	\$65.00	\$0.00	\$65.00	2-FULL
	XXXXXXXX	11	05	12/01/01	240000181760	\$93.00	3	\$31.00	\$0.00	\$31.00	2-FULL
	XXXXXXXX	11	69	12/27/01	240000182710	\$86.80	3	\$28.93	\$0.00	\$28.93	2-FULL
	XXXXXXXX	11	E7	01/04/02	286348	\$97.10	3	\$32.36	\$0.00	\$32.36	2-FULL
	XXXXXXXX	11	58	01/04/02	286348	\$66.69	3	\$22.23	\$0.00	\$22.23	2-FULL
	XXXXXXXX	11	69	01/14/02	240000183284	\$102.00	3	\$34.00	\$0.00	\$34.00	2-FULL
	XXXXXXXX	11	58	01/18/02	286894	\$134.44	3	\$44.81	\$0.00	\$36.00	1-PART
XXXXXXXX	11	E7	01/18/02	286894	\$201.96	3	\$67.32	\$0.00	\$0.00	0-NONE	
345678901	YYYYYYY	19	57	05/01/98	229487	\$56.00	3	\$18.66	\$0.00	\$18.66	2-FULL
	YYYYYYY	11	10	04/28/99	241166	\$627.87	3	\$209.29	\$0.00	\$209.29	2-FULL
	YYYYYYY	19	57	08/20/99	244919	\$31.84	3	\$10.61	\$0.00	\$10.61	2-FULL
	YYYYYYY	19	10	02/11/00	251211	\$1,120.85	3	\$373.61	\$0.00	\$261.44	1-PART
	YYYYYYY	19	Q5	09/25/03	309774	\$204.00	3	\$68.00	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	10	09/25/03	309775	\$79.00	3	\$26.33	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	84	09/26/03	309790	\$300.00	3	\$100.00	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	84	09/26/03	309797	\$678.00	3	\$226.00	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	52	01/29/04	314592	\$586.00	3	\$195.33	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	60	10/21/05	339676	\$331.53	3	\$110.51	\$0.00	\$0.00	0-NONE
	YYYYYYY	19	52	01/06/06	342289	\$487.00	3	\$162.33	\$0.00	\$0.00	0-NONE
456789012	ZZZZZZZ	19	10	10/17/01	283402	\$425.70	2	\$212.85	\$0.00	\$212.85	2-FULL
	ZZZZZZZ	19	Q5	10/25/01	283578	\$600.00	2	\$300.00	\$0.00	\$88.40	1-PART