OFFICE OF POLICY, PROCEDURES, AND TRAINING



James K. Whelan, Executive Deputy Commissioner

Stephen Fisher, Assistant Deputy Commissioner Office of Procedures

## POLICY DIRECTIVE #17-04-ELI

(This Policy Directive Replaces PD #09-31-ELI)

### REVISIONS TO PROCESSING OF CASES REFERRED BY THE DIVISION OF VOLUNTARY AND PROPRIETARY HOMES FOR ADULTS (DVPHA)

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Date: February 2, 2017	Subtopic(s): Congregate Care	
AUDIENCE	This policy directive provides instructions to Job Center staff on how to handle the cases of individuals who are applying for or are alread in receipt of Cash Assistance (CA) and are referred by the Division of Voluntary and Proprietary Homes for Adults (DVPHA). This policy directive is informational for all other staff.	
REVISIONS TO THE ORIGINAL PROCEDURE	<ul> <li>This Policy Directive is being revised to:</li> <li>Replace references to the Food Stamp (FS) Program with Supplemental Nutritional Assistance Program (SNAP), and Expedited FS (EFS) with Expedited SNAP (ESNAP);</li> <li>Update the Congregate Care Level Shelter Types;</li> <li>Update the Shelter Rates and Personal Needs Allowance for Congregate Care Facilities Desk Aid (W-200G) form;</li> <li>Update the DVPHA Liaison contact phone numbers;</li> <li>Update the Supportive Housing Unit address;</li> <li>Update the Human Resources Administration (HRA) Office of Project Support address;</li> <li>Add the Limited English Proficient and Deaf/Hard-of Hearing Implications Section.</li> </ul>	
<b>POLICY</b> An SSI application is a requirement for CA eligibility for residents in congregate care. An SSI application is <u>not</u> a SNAP eligibility requirement.	HRA provides a shelter allowance and a personal needs allowance to low-income aged or disabled adults who need supervised and supportive living arrangements in state-licensed homes and residences. The type of care that is offered in these homes and residences is known as congregate care. The congregate care resident must apply for Supplemental Security Income (SSI) as a condition of eligibility for CA.	

BACKGROUND	HRA must provide an allowance for each individual receiving care in certified congregate care facility who files an application and is deemed eligible for CA. The allowance is based on the rates provided for care and maintenance under the SSI Program for SSI beneficiaries residing in the same facility, less the amount of any personal needs allowance included in the SSI rate.
Revised	Individuals usually apply for congregate care housing with the assistance of social service staff from various community sources. These include, but are not limited to, New York State (NYS) Psychiatric Centers, New York City (NYC) Health and Hospitals Corporation (HHC) facilities, private voluntary or proprietary hospitals, the Department of Homeless Services (DHS), Adult Protective Services (APS), and a wide variety of community agencies which provide social service counseling.
<b>Note</b> : The rate of payment for congregate care changes each year effective January 1, in accordance with the Cost of Living Adjustment (COLA)	There are three (3) levels of congregate care facilities. Each level has a different rate of payment. Please refer to the Shelter Rates and Personal Needs Allowance for Congregate Care Facilities Desk Aid ( <b>W-200G</b> ) for current shelter rates and personal needs allowance amounts.
received by SSI recipients.	Congregate Care Level 1
See <u>Worker's Guide to</u> <u>Codes</u>	• Shelter Type <b>15</b> - Family-type homes for adults licensed by the Office of Children and Family Services (OCFS), Office of Mental Health (OMH) or Office for People with Developmental Disabilities (OPWDD) and operated by HRA through the Division of Voluntary and Proprietary Homes for Adults (DVPHA). These are smaller
Revised	residential programs serving people with developmental disabilities, the mentally ill, and frail elderly. Facility in NYC, Nassau, Suffolk, Westchester or Rockland;
New	<ul> <li>Shelter Type 28 - Same as above in a rest of the state facility.</li> </ul>
	<b>Note:</b> Unlike residents of Voluntary Homes for Adults, residents of Proprietary Homes for Adults are <b>not</b> eligible to receive SNAP benefits.
	Congregate Care Level 2
Revised	<ul> <li>Shelter Type 16 - Adult homes licensed through the New York State Department of Health (DOH) and community residences licensed through OMH. Facility in NYC, Nassau, Suffolk, Westchester or Rockland;</li> </ul>
New	<ul> <li>Shelter Type 29 - Same as above in a rest of the state;</li> </ul>

New	<ul> <li>Shelter Type 31 - Residential Alcohol and Substance Abuse Treatment Programs. Facility in NYC, Nassau, Suffolk, Westchester or Rockland;</li> <li>Shelter Type 32 - Same as above in a rest of the state facility;</li> <li>Shelter Type 43 - Community Residences licensed through OMH/OPWDD. These are principally small group homes and supported apartments. Cases that were previously coded as Shelter Type 16, but live in an apartment-like setting and are now coded as Shelter Type 43. The case can be larger than a family size of 1.</li> </ul>
	Congregate Care Level 3
	Shelter Type <b>42</b> - DOH Adult Homes and Enriched Housing facilities. The case <b>must be</b> a family size of 1.
	<b>Note:</b> Residential Drug and Alcohol Treatment Facilities are not governed by the DVPHA referral process and are not covered by this policy directive. See <u>PD #12-14-EMP</u> and <u>PD #00-33R</u> .
REQUIRED ACTION	DVPHA Process
Revised	Social service staff from community agencies providing counseling can request approval for Supportive Housing either in writing, via the <b>HR-1990M</b> form for medical reasons, or electronically for mental health cases, via the <b>HRA 2010(e)</b> form to the Supportive Housing Unit at 4 World Trade Center, 150 Greenwich Street, 30th Floor, New York, NY 10007.
New	<b>Note:</b> The <b>HRA 2010(e)</b> form is available on-line only to clinical staff with assigned passwords.
	The completed <b>HR-1990m</b> and <b>HRA 2010(e)</b> forms are forwarded to DVPHA, which oversees the placement of eligible individuals and/or couples into the appropriate type of adult home. DVPHA also makes referrals for CA, when appropriate. DVPHA is part of Medical Insurance and Community Services Administration (MICSA).
	DVPHA is responsible for maintaining a roster of facilities where eligible individuals for CA will be located. If the individual is applying for congregate care in a Level 2 or Level 3 facility, the facility must be registered with DVPHA.

Once the Notice(s) of Admission/Request(s) for CA is/are received at DVPHA, the notices of those individuals who will be referred to the Family Independence Administration (FIA) are attached to a DVPHA transmittal sheet (**HR-11**) and forwarded <u>via fax</u> to the appropriate Job Center. The **HR-11** form notifies the Job Center that the applicant has been admitted for placement to a particular congregate care facility and provides the "pick up" date for payment. The "pick up" date is the start date for payment and is not necessarily the same as the individual's date of admission into the congregate care facility.

DVPHA may request that the Job Center pay prorated "one-shot" expenses for an applicant/participant who moved into a facility before the SSI payment was received.

DVPHA may request that the Job Center activate a case with income from SSI and/or Retirement Survivors Disability Insurance (RSDI). In some cases, reduction in the income due to garnishment or repayment of a prior overpayment. DVPHA is responsible for verifying that the applicant/participant has requested a waiver from the Social Security Administration (SSA) to reduce the deduction for the overpayment.

### **Active CA Cases**

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Active cases	When a Notice of Admission/Request for CA is received by DVPHA for an individual that is active on a CA case, DVPHA will forward the notice and the <b>HR-11</b> form <u>via fax</u> to the Administrative Assistant (AA) to the Director of the Job Center that has the active case.
Active cases	Upon receipt of the packet, the AA to the Job Center Director "clocks in" the <b>HR-11</b> form for control purposes and forwards it to the appropriate Associate Job Opportunity Specialist (AJOS) I. The AJOS I will assign the case to the JOS/Worker, who is responsible
Revised	<ul> <li>for taking the following case actions in Paperless Office System (POS):</li> <li><u>Single-person Cases</u></li> </ul>
Revised	<ul> <li>Change the payee/case name to "(Facility Name) for (Case Name)", e.g., "Golden Horizon for John Smith";</li> <li>Change the mailing address to (Case Name c/o Facility Name) and the facility's address;</li> <li>Indicate the appropriate congregate care facility type;</li> <li>Indicate if a participant is disabled;</li> <li>Indicate if a participant is in receipt of other income;</li> <li>Prepare a new budget;</li> </ul>

•	A semimonthly personal needs allowance and an appropriate
	shelter rate will be automatically generated in accordance
	with the schedule on the <b>W-200G</b> form;

- Issue a shelter allowance from the date of placement in the home as indicated on the transmittal (HR-11) from DVPHA;
- Rent payments to the facility listed on the HR-11 form will be direct vendor payment;
- All payments for the personal needs allowance are issued as electronic transfers to the facility. Facility is responsible for disbursement of funds to the residents;
- Enter case comments in POS indicating all actions taken on the case, and send the case to the AJOS I for approval.

#### <u>Multiple-person Cases</u>

 Review the CA case. If all members on the case are moving into congregate care, follow the same instructions given for a Single-person case (see above);

- If some household members are not moving into the congregate care facility, close the line(s) on the active case of the individual(s) who is/are moving into the facility. Establish a new case for these individual(s) only;
- If Shelter Type is 42, each participant requires a separate case, even if living together;
- Follow the same instructions given for a Single-person case (see above).

Upon completion of these actions, the Center must transfer the case to the Center that covers the congregate care facility's address. In Brooklyn, the Institute for Community Living (ICL) has an agreement that all of its cases will go to Bushwick Job Center #66 located at 30 Thornton Street, 3 Floor, Brooklyn, NY, 11206.

#### **Non-active Cases**

If the individual is not active on a CA case, the packet containing the **HR-11** form is sent from DVPHA to the Center covering the Zip Code of the congregate care facility. In Brooklyn, the ICL has an agreement that all of its cases will go to Bushwick Job Center #66 located at 30 Thornton Street, 3 Floor, Brooklyn, NY, 11206.

**Note**: All DVPHA cases should be treated as homebound. Verification of actual homebound status must be obtained

Non-active cases

Revised

For new applicants, the AA to the Center Director forwards the package from DVPHA to the AJOS II. The AJOS II assigns the case to the AJOS I next in line to receive an application case, who then assigns the case to a JOS/Worker. A JOS/Worker will send an email to the Job Center #90 at Ctr90HVNRequests@hra.nyc.gov to request a homebound visit to the congregate care facility. The Home Visit Needed (HVN) Unit JOS/Caseworker will conduct a homebound visit, obtain all necessary documentation, complete the application interview, and eligibility determination in POS as per <u>PD #16-26-OPE</u>.

These households must be screened for ESNAP service. If the applicant is found eligible for ESNAP service and the facility has been designated as the household's authorized representative, the facility will receive SNAP on the applicant's behalf.

These cases must be processed in a timely manner and CA benefits must be made available within 30 or 45 days of receipt of the **HR-11** form, based on family composition and category of assistance. SNAP benefits must be made available within 30 days, if eligible. However, shelter payments for these facilities are considered an emergency and must be paid during the application period. A delay in the processing of these cases may place this particularly vulnerable population in jeopardy of eviction.

**Note:** It is imperative that both active and non-active cases be processed in a timely manner

The JOS/Worker annotates the **HR-11** form indicating the following information:

- Date the case was accepted;
- Amount of grant(s) issued; and
- Period(s) covered.

The AJOS I will:

- Control the case and ensure that eligibility is determined and CA benefits are available 30 or 45 days from the application file date as appropriate.
- Ensure that SNAP benefits are issued within 30 days from the application file date.
- Annotate the **HR-11** form and return it to the AJOS II as soon as he/she has signed off on the case.

Upon receipt of the annotated **HR-11** form, the AJOS II copies the sheet and forwards it to:

HRA Office of Project Support 4 World Trade Center 150 Greenwich Street, 36th Floor New York, NY 10007 Attention: DVPHA Liaison

Eligibility must be determined within 30 days from the time the HRA forms were clocked in. CA benefits must be available by the 45th day where appropriate.

Revised

New

The **HR-11** form should be hand-delivered and signed once it arrives at the HRA Office of Project Support.

In Model Centers<br/>provide the congregate<br/>care resident with a<br/>CSIC General ticketNote: All staff must be aware that some residents of congregate care<br/>facilities may walk into a Job Center with a representative from the<br/>facility to request services. If the individual's case is active, alert the<br/>AJOS I of the group that was assigned the case for follow-up action.<br/>If the case is not known to the Center, alert the AA to the Director.<br/>He/she will determine whether the HR-11 form has already been<br/>received, or whether this is a new case that needs the HR-11 form.<br/>Contact the DVPHA Liaison for assistance at (929) 221-6692, (929)<br/>221-6688, or (929) 221-7383.

PROGRAM IMPLICATIONS

Paperless Office System (POS) Implications	POS implications are included in this policy directive.
SNAP Implications	SNAP applications for all individuals in congregate care who are also applying for CA are processed by Job Center staff.
See NPA FS Budgeting Manual, Appendix E.	Residents of congregate care facilities are eligible for SNAP if they are in receipt of RSDI or SSI, or have an "X" in the Aged or Disabled (A/D) indicator field on the <b>NSBL06</b> screen of the WMS budget. Residents who do not receive either RSDI or SSI but who are receiving "treatment and care" within the facility are considered to be disabled. This includes "private pay" residents and residents receiving cash assistance.
	Applicants/participants residing in Congregate Care Level 1, Congregate Care Level 3, and most Congregate Care Level 2 facilities may apply for SNAP either on their own behalf, through an authorized representative employed and designated by the facility, or through an authorized representative of their choice. Staff at these facilities must determine whether a resident may apply on his/her own behalf, based on the resident's physical and mental ability to handle his/her own affairs. Applicants/participants residing in Congregate Care Level 2
	Drug/Alcohol Residential Treatment Facilities <b>must</b> apply through an authorized representative employed and designated by the facility.

	SNAP determinations for residents of Drug/Alcohol Residential Treatment Facilities are made by staff at Job Center #52, Residential Treatment Service Center (RTSC).
	The following residents are <b>not</b> eligible for SNAP benefits:
	<ul> <li>Residents of medical facilities;</li> <li>Residents of medical institutions; and</li> <li>Residents of Proprietary Homes for Adults.</li> </ul>
	New applicants must be screened to determine whether they are eligible for ESNAP processing. If the applicant is found eligible for ESNAP, the SNAP benefit must be issued within five days of the SNAP application file date. If the facility has been designated as the household's authorized representative, the facility will receive the SNAP on the applicant's behalf.
Medicaid Implications	There are no Medicaid implications.
LIMITED ENGLISH PROFICIENT AND DEAF/HARD- OFHEARING IMPLICATIONS	For Limited English Proficient (LEP) and Deaf/Hard-of-Hearing applicants/participants, make sure to obtain appropriate interpreter services in accordance with <u>PD #16-14-OPE</u> and <u>PD #16-16-OPE</u> .
FAIR HEARING IMPLICATIONS	Applicants/participants who receive an adverse action on their congregate care CA application as a result of this process are entitled to request a Fair Hearing.
Avoidance/ Resolution	Remember to give the applicant/participant or representative an opportunity for a conference/resolution on the issue.
Conferences	An applicant/participant can request and receive a conference with a Fair Hearing and Conference (FH&C) AJOS I/Supervisor I at any time. If an applicant/participant comes to the Job Center requesting a conference, the Receptionist must alert the FH&C Unit that the individual is waiting to be seen. In Model Offices, the Receptionist at Main Reception will issue an FH&C ticket to the applicant/participant to route him/her to the FH&C Unit and does not need to verbally alert the FH&C Unit staff.

The FH&C AJOS I/Supervisor I will listen to and evaluate any material presented by the applicant/participant, review the case file and discuss the issue(s) with the JOS/Worker responsible for the case and/or the AJOS I/Supervisor I. The AJOS I/Supervisor I will explain the reason for the Agency's action(s) to the applicant/participant.

If the determination is that the applicant/participant has presented good cause for the infraction or that the outstanding Notice of Intent needs to be withdrawn for other reasons, the FH&C AJOS I /Supervisor I will settle in conference (SIC), enter detailed case notes in NYCWAY and forward all verifying documentation submitted by the applicant/participant to the appropriate JOS/Worker for corrective action to be taken.

In addition, if the adverse case action still shows on the "Pending" (08) screen in WMS, the AJOS I/Supervisor I must prepare and submit a Fair Hearing/Case Update Data Entry Form (LDSS-3722), change the 02 to an 01 if the case has been granted aid continuing (ATC), or prepare and submit a PA Recoupment Data Entry Form (LDSS-3573) to delete a recoupment. The AJOS I/Supervisor I must complete a Conference Report Form (M-186a).

If the determination is that the applicant/participant has not shown good cause for the infraction or that the Agency's action(s) should stand, the AJOS I/Supervisor I will explain to the applicant/participant why he/she cannot SIC. The AJOS I/Supervisor I must complete Form **M-186a**.

Should the applicant/participant elect to continue his/her appeal by requesting a Fair Hearing or proceeding to a Fair Hearing already requested, the FH&C AJOS I/Supervisor I is responsible for ensuring that further appeal is properly controlled and that appropriate followup action is taken in all phases of the Fair Hearing process.

# Evidence Packets All Evidence Packets must include the Notice of Action Taken on Your Application and all documentation relevant to the issue to support the Agency's action.

#### REFERENCES Public Assistance Budgeting Manual, Appendix E NPA/SNAP Budgeting Manual, Appendix E Worker's Guide to Codes OTDA 08-INF-04, Attachments 1 and 3 GIS 08 TA/DC022 7 CFR 273.2(n)(1)(ii) 7 CFR 273.11(f) 18 NYCRR 387.14(5)(iii)(f)

RELATED ITEMS

#### PD #16-26-OPE PD #12-14-EMP PD #00-33R

## ATTACHMENTS

Please use Print on Demand to obtain copies of forms.

EXP 9705	Notification of Admission to a Congregate Care Home Referral for Interim Safety Net Grant – Single Issue
HR-11 series:	
HR-11 Level	Notification of Placement in an Approved
111	Congregate Care Level III Adult Care Facility
HR-11 Level II	Notification of Placement in an Approved
	Congregate Care Level II Adult Care Facility
HR-11 Level	D.O.P.I.S. Notification of Placement in an Approved
111	Congregate Care Level III Adult Care Facility
HR-11 Level II	D.O.P.I.S. Notification of Placement in an Approved
	Congregate Care Level II Community Residence
HR-11 Level II	Notification of Admission to a Congregate Care
	Level II Facility Re-budgeting of Safety Net
	Assistance Grant and Active Transfer
HR-11 Level	Notification of Admission to a Congregate Care
III	Level III Facility Re-budgeting of Safety Net
	Assistance Grant and Active Transfer
HR-11 Level I	Notification of Placement in an Approved Level I,
	Family Type Home for Adults
HR-11 Level I	Notification of Admission to a Level I, Family Type
	Home for Adults Re-budgeting of Safety Net
	Assistance Grant and Active Transfer
HR-11B	Application for Safety Net Assistance/SSI
	Recoupment For DVPHA Home Resident
HR-11T	Notification of Approved Transfer from one Family
	Type Home to another Family Type Home for
	Adults
HRA-1990M	NYC HRA Housing Placement Application

W-200G Shelter Rates and Personal Needs Allowance for Congregate Care Facilities Desk Aid (Rev. 2/2/17)

#### HRA/ADULT PROTECTIVE SERVICES

DIVISION OF POST INSTITUTIONAL SERVICES (DOPIS)

DIVISION OF VOLUNTARY AND PROPRIETARY HOMES FOR ADULTS (DVPHA) 400 8<sup>Th</sup> Avenue, 8Th FLOOR, NEW YORK, NY 10001-TEL (212) 971-0046, FAX (212) 971-0144

# Notification of Admission to a Congregate Care Home Referral for Interim Safety Net Grant – Single Issue

			Pag	ge 1 of	Pages
To: FIA Support Center:		FAX		Date:	
Attn:					
From:		Teleph	one Number:		
Client Name		DOB		SSN	
In accord with the Personal Supplemental Security Incom- the month after admission to client reports not being paid application for Safety Net As <b>The period excluded by SSI</b> <b>The admission date is:</b> <b>The admission date is:</b> <b>The amount due is \$</b> <b>Check type of facility:</b> Level I Family Ty Level II Communi- Level III Adult Ho <b>Client Address:</b>	he (SSI) program will be a Congregate Care hor for the initial period of sistance for a <u>SINGLE</u>	egin payment for 6 me or residence. 7 f housing. Therefore ISSUE payment. to Pick-up date i code 15) 6)	eligible persons The home or res ore we are reque	as of the defined of	first day of f the above
Name of Home/Residence:					
Payment Address:				Zip Co	ode:
Telephone Number: Reply from Income Sup (Acceptance date, issue date, amou		<b>Contact Per</b>			

## Notification of Placement in an Approved Congregate Care Level III Adult Care Facility

		Page 1 of P	ages	
To: FIA Support Center:	Fax:	Date:		
Attn:				
From:	Telephone Number:			
Client Name	DOB	SSN		

We are forwarding the attached approved Housing Placement Referral Application for Safety Net Assistance. The above person has been admitted to a licensed Congregate Care Level III Adult Home. Please budget according to the Congregate Care Level III rate.

# Please schedule a homebound application appointment as soon as possible. A temporary Medicaid card may be needed for medication for this disabled resident

### **Refer to FIA Policy Directive # 17-04-ELI:**

- 1. Use shelter code 42 as type of living arrangement.
- 2. Obtain Form DSS-2474, verifying application for SSI benefits.
- 3. For Food Stamp eligibility, please see Budgeting Manual, Appendix E.

Admission Date:	Pick-up Date:	
Client Address:		
Name of Home/Residence:		
Payment Address:		Zip Code:
Talanhana Numbar:	Contact Porson.	

## **Reply from Income Support Center:**

#### HRA/ADULT PROTECTIVE SERVICES

DIVISION OF POST INSTITUTIONAL SERVICES (**DOPIS**) DIVISION OF VOLUNTARY AND PROPRIETARY HOMES FOR ADULTS (**DVPHA**) 400 8<sup>Th</sup> Avenue, 8Th FLOOR, NEW YORK, NY 10001-TEL (212) 971-0046, FAX (212) 971-0144

## Notification of Placement in an Approved Congregate Care Level II Adult Care Facility

		Page 1 of	Pages	
To: FIA Support Center:	Fax:	Date:		
Attn:				
From:	Telephone Number:			
Client Name	DOB	SSN		

We are forwarding the attached approved Housing Placement Referral Application for Safety Net Assistance. The above person has been admitted to a licensed Congregate Care Level II Community Residence. Please budget according to the Congregate Care Level II rate.

Please schedule a homebound application appointment as soon as possible. A temporary Medicaid card may be needed for medication for this disabled resident

#### **Refer to FIA Policy Directive # 17-04-ELI:**

- 1. Use shelter code 16 as type of living arrangement.
- 2. Obtain Form DSS-2474, verifying application for SSI benefits.
- 3. For Food Stamp eligibility, please see Budgeting Manual, Appendix E.

Admission Date:	Pick-up Date:	
Client Address:		
Name of Home/Residence:		
Payment Address:	Zip (	Code:
Telephone Number:	Contact Person:	

#### **Reply from Income Support Center:**

## Notification of Placement in an Approved **Congregate Care Level III Adult Care Facility**

	· · · · · ·	Page 1 of	Pages
To: FIA Support Center:	Fax:	Date:	
Attn:			
From:	Telephone N	umber:	

Client Name	DOB	SSN

We are forwarding the attached approved Housing Placement Referral Application for Safety Net Assistance. The above person has been discharged from a NYSOMH facility, and admitted to a licensed Congregate Care Level III Adult Home. This person is 100% Medicaid reimbursable as a MA State charge case. Please budget according to the Congregate Care Level III rate.

Please schedule a homebound application appointment as soon as possible. A temporary Medicaid card may be needed for medication for this disabled resident.

In WMS/TAD under St/Fed enter Code 05 in box 307 and DOPIS/621 eligible date:	in
box 325.	

### **Refer to FIA Policy Directive # 17-04-ELI:**

- Use shelter code 42 as type of living arrangement. 1.
- 2. Obtain Form DSS-2474, verifying application for SSI benefits.
- For Food Stamp eligibility, please see Budgeting Manual, Appendix E. 3.

 Admission Date:
 Pick-up Date:

**Client Address:** 

Name of Home/Residence:

Payment Address:

Telephone Number: Contact Person:

Zip Code:

### **Reply from Income Support Center:**

## Notification of Placement in an Approved **Congregate Care Level II Community Residence**

0.0		Page 1 of	Pages
To: FIA Support Center:	Fax:	Date:	
Attn:			
From:	<b>Telephone</b> I	Number:	
Client Name	DOB	SSN	

We are forwarding the attached approved Housing Placement Referral Application for Safety Net Assistance. The above person has been discharged from a NYSOMH facility, and admitted to a licensed Congregate Care Level II Community Residence. This person is 100% Medicaid reimbursable as a MA State charge case. Please budget according to the Congregate Care Level II rate.

Please schedule a homebound application appointment as soon as possible. A temporary Medicaid card may be needed for medication for this disabled resident.

In WMS/TAD under St/Fed enter Code 05 in box 307 and DOPIS/621 eligible date:	in
box 325.	

#### **Refer to FIA Policy Directive # 17-04-ELI:**

- Use shelter code 16 as type of living arrangement. 1.
- 2. Obtain Form DSS-2474, verifying application for SSI benefits.
- For Food Stamp eligibility, please see Budgeting Manual, Appendix E. 3.

 Admission Date:
 Pick-up Date:

**Client Address:** 

Name of Home/Residence:

Payment Address:

Telephone Number:Contact Person:

Zip Code:

### **Reply from Income Support Center:**

## Notification of Admission to a Congregate Care Level II Facility Re-budgeting of Safety Net Assistance Grant and Active Transfer

					P	age 1 of	Pages
To: FIA Support Center:			Fax:			Date:	
Attn:							
From:			Teler	ohone	Number		
Client Name		D	OB	I		SSN	
We are forwarding the attack who has been admitted to a budget according to the Cong	licensed Congregat	e Care Leve		-	1		1
Please transfer active ca	ase, after re-budg	geting to J	ob Ce	enter	#:		
Refer to FIA Policy Dir1.Use shelter code 16 as ty2.Obtain Form DSS-2474,3.For Food Stamp eligibility	pe of living arranger verifying application	nent. n for SSI ben		ıdix E.			
Admission Date:		Pick-up	Date:				
Client Address:							
Name of Home/Kesidence:							
Payment Address:						Zip Code	<u> </u>
Telephone Number:	С	ontact Perso	on:				
Reply from Income Sup (Acceptance date, issue date, amou	<b>–</b>	nber, other info	ormation	as need	ed)		

## Notification of Admission to a Congregate Care Level III Facility Re-budgeting of Safety Net Assistance Grant and Active Transfer

				Р	age 1 of	Pages
To: FIA Support Center:		Fax	:		Date:	
Attn:						
From:		Tel	ephone	Number	:	
Client Name		DOB	•		SSN	
We are forwarding the attached who has been admitted to a li according to the Congregate Care <b>Please transfer active case</b>	censed Congrega e Level III rate.	te Care Level II	I Adult	Home fa		-
Refer to FIA Policy Direct1.Use shelter code 42 as type2.Obtain Form DSS-2474, ver3.For Food Stamp eligibility, p	of living arranger ifying application	nent. n for SSI benefits				
Admission Date:		Pick-up Dat	e:			
Client Address:						
Name of Home/Residence:						
Payment Address:					Zip Code	:
Telephone Number:	C	ontact Person:				
<b>Reply from Income Suppo</b> (Acceptance date, issue date, amount gr		nber, other informati	on as need	ded)		

# Notification of Placement in an Approved Level I, Family Type Home for Adults

		Page 1 of Pages
To: FIA Support Center:	Fax:	Date:
Attn:		
From:	Telephone N	umber:
Client Name	DOB	SSN

We are forwarding the attached approved Housing Placement Referral Application for Safety Net Assistance. The above person has been admitted to a licensed Level I, Family Type Home for Adults. Please budget according to the Congregate Care Level I rate.

Please schedule a homebound application appointment as soon as possible. A temporary Medicaid card may be needed for medication for this disabled resident

### **Refer to FIA Policy Directive # 17-04-ELI:**

- 1. Use shelter code 15 as type of living arrangement.
- 2. Obtain Form DSS-2474, verifying application for SSI benefits.
- 3. For Food Stamp eligibility, please see Budgeting Manual, Appendix E.

Admission Date:	Pick-up Date:	
Client Address:		
Name of Home/Residence:		
Payment Address:		Zip Code:
Talanhana Numbar:	Contact Porson.	

## **Reply from Income Support Center:**

## Notification of Admission to a Level I, Family Type Home for Adults Re-budgeting of Safety Net Assistance Grant and Active Transfer

		Page 1 of F	Pages
To: FIA Support Center:	Fax:	Date:	
Attn:			
From:		e Number:	
Client Name	DOB	SSN	
We are forwarding the attached approved Housin who has been admitted to a licensed Congregat budget according to the Congregate Care Level I r <b>Please transfer active case, after re-budg</b>	te Care Level I Family rate.	Type Home for Adults.	-
<ul> <li><u>Refer to FIA Policy Directive # 17-04-E1</u></li> <li>Use shelter code 15 as type of living arranger</li> <li>Obtain Form DSS-2474, verifying application</li> <li>For Food Stamp eligibility, please see Budger</li> </ul>	nent. n for SSI benefits.	E.	
Admission Date:	Pick-up Date:		
Client Address:			
Name of Home/Residence:			
Payment Address:		Zip Code:	
Telephone Number: C	ontact Person:		
<b>Reply from Income Support Center:</b> (Acceptance date, issue date, amount granted, SNA case num	mber, other information as no	eeded)	

#### HRA/ADULT PROTECTIVE SERVICES

DIVISION OF POST INSTITUTIONAL SERVICES (DOPIS)

DIVISION OF VOLUNTARY AND PROPRIETARY HOMES FOR ADULTS (DVPHA)

400 8<sup>Th</sup> Avenue, 8Th FLOOR, NEW YORK, NY 10001-TEL (212) 971-0046, FAX (212) 971-0144

<b>Application</b>	for Safety	Net Assistance/SSI	Recoupment
11	e e e e e e e e e e e e e e e e e e e		1

## For DVPHA Home Resident

		A mome resiu	CIII	Page 1 of	Pages
					1 ages
<b>To: FIA Support Center:</b>		Fax	:	Date:	
Attn:					
T.					
From:			lephone Numb		
Client Name		DOB	I	SSN	
Level II Com	the Social Security A ble income when deter t be based on shelter in denied SNA solely on the get based on DVPHA inted the difference of S and continues to be eliney and application apponeck type of facility) by Home for Adults (se munity Residence (she the Home or ALP (she exceed the total reco	administration (SSA mining eligibility fo needs added to the 1 the basis of receiving rates (Congregate SSI monies not cover gible for Public Ass <b>Dintment as soon</b> shelter code 15) nelter code 16) elter code 42)	), that potion of r SNA in accorda basic allowance, p g SSI money and Care Level I, II red for needs; dun istance; and until as possible for	the money beinnee with all S plus any applibeing recouped or III rates) a ring such time applicant's ci	ng recouped NA program cable special d by the SSA and if found as applicant ircumstances
Single Issue grant for	Is nee	ded for the period	from	to	
Recurring semi-month		-	rant is needed f		-up-date.
Admission Date:		Pick-up Dat			
Client Address:					
Name of Home/Residence:					
Payment Address:				Zip Code:	
Telephone Number:	0	Contact Person:			
<b>Reply from Income Sup</b> (Acceptance date, issue date, amou	-	mber, other informati	on as needed)		

## Notification of Approved Transfer from one Family Type Home to another Family Type Home for Adults

			Pa	ge 1 of	Pages
To: FIA Support Center:	Ι	Fax:		Date:	
<b>* *</b>					

Attn:

From:	Telephone	Number:
Client Name	PA#	SSN

We are forwarding an approved transfer for the above person who has been transferred from one licensed Family Home to another licensed Family Home for Adults. Both are Level I homes and the Congregate Care Level I rate should remain in effect.

This case should be transferred to Job Center Number:

A temporary Medicaid Card may be needed for medication for this disabled resident.

### **Refer to FIA Policy Directive # 17-04-ELI:**

1. Use shelter code 15 as type of living arrangement.

2. For Food Stamp eligibility, please see Budgeting Manual, Appendix E.

The effective transfer date is:

Name of Home/Residence:

Address:

Zip Code:

Telephone Number:Contact Person:

## **Reply from Income Support Center:**

HRA-1990M (Rev. 4/16/12) Page 1 of 5 pages	<b>APS</b> - Voluntary and Proprietary Homes for Adults						
NYC HRA Housing Placement Application							
INSTRUCTIONS							
▶ Form HRA-1990M is used to apply for housing placement	9. Marital Status:						
for persons who require supportive services primarily for							
reasons of MEDICAL / FUNCTIONAL disabilities.	10. Applicant's location:						
► The disclosure of HIV related information requires a	If applicant is hospitalized give address prior to admission.						
Special Consent to Release Information form signed by the							
applicant. This is attached as page 5 of this Application.	Admission date to hospital:						
► ALL APPLICATIONS must be submitted with a complete	Give last known address:						
PSYCHOSOCIAL SUMMARY which explains the type of							
supportive services the applicant needs.							
<ul> <li>DVPHA approval MUST be obtained prior to</li> </ul>							
placement in all FAMILY homes.							
Approval is effective for a period of 90 days, then a	11. Citizenship: USA Other						
request for renewal must be submitted.							
Check boxes for housing requested:	If other, specify:						
Family-type Home for Adults	I olici, spool j						
	Alien Registration #:						
□ Foster Family Care Home *							
* Submit Application with M-27r Nurse's Assessment	12. Is applicant a veteran?						
Adult Home							
	Yes: type of discharge:						
Adult Home Assisted Living	$\square$ No						
Is a specific Home being requested?	13. Indicate any programs applicant attends regularly:						
Yes (specify)	13. Indeate any programs appreant attends regularly.						
	Program Contact Phone						
Send to: DVPHA Applications Intake	Name Person Number						
400 8th Avenue, 8th floor							
New York, NY 10001	1.						
FAX: (212) 971-0144	2.						
SECTION A: IDENTIFYING INFORMATION							
	3.						
1. Name: Last							
First	14. Check all sources of income applicant receives:						
	r i i i i i i i i i i i i i i i i i i i						
2. AKA	AMOUNT \$ I.D. #						
3. Birth date:// (Age:)	Soc Sec						
	SSI						
4. Social Security Number://							
······································	□ P.A.						
5. 🗍 Male 🗍 Female	Medicare						
6. Shelter H.A. #:	Medicaid						
S. Shenor And N	Veterans						
7. Ethnicity:	Pension						
7. Edimony	Food Stamps						
8 Paligion	Other						
8. Religion:							

HRA-1990M (Rev. 4/16/12) Page 2 of 5 pages	HOUSING PLACEMENT APPLICATION				
NAME OF APPLICANT:	SS #:				
SECTION A: Continued	SECTION C: HOUSING ASSESSMENT				
15. Describe any special payee arrangements:	1. Does applicant speak English? 🛛 Yes 🗖 No				
	Primary language:				
	2. First and second borough preferences:				
	<u>(1)</u>				
17. Family/Friend/Emergency Contact(s): (Include name, address, phone)	(2)				
(Include hume, daness, phone)	3. Does applicant have difficulty in the following?				
	Money Management     Image: Second state       Nutrition     Image: Second state       Use of Leisure Time     Image: Second state       Program Participation     Image: Second state       Socialization     Image: Second state				
SECTION B: RESIDENTIAL HISTORY	Travel				
<ol> <li>Has applicant ever been homeless? Yes No If YES, complete the following: (Include dates of present episode of homelessness; include name of shelter, drop-in center, street, etc. under Location.)</li> </ol>	Securing/Maintaining Income/BenefitsIHousekeepingIUse of Health ServicesICookingIShoppingI				
Dates Location	4. Check any alternative housing/placement options being considered in addition to those on page 1:				
	Transitional SettingISNF/HRFIPWA HousingILodging HouseI				
2. Where did applicant reside prior to this request for	Unserviced SRO				
<pre>placement? (Indicate facility if appropriate.)     Own apartment/house</pre>	Independent Apartment				
□ With family	5. Are any services needed once applicant is housed?				
$\Box \text{ With friend(s)}$	Yes	No			
Single room occupancy residence	Senior Center				
Community Residence	Psych. Day Program				
<ul> <li>Adult Home/Residence</li> <li>Inpatient psychiatric facility</li> </ul>	Drop-in program Psych. clinic/psychiatrist				
□ Inparient psychiatric facility □ Jail	Alcohol or drug treatment services				
Unknown	Alcoholics/Narcotics Anonymous				
Other	Vocational Program				
Facility:	On-site Case Management Services				
3. Length of occupancy, in months:	Psychosocial Club				
4. Reason for leaving:	Other:				
······································					

SS #:

Doe	s the patient/appli	cant have any	history of a	r current need	ן ר	3. Does patient/applicant have a h	istory of or i	s curre	ntlv
	psychiatric care?					exhibiting any of the following?	-		
	$\square$ Yes $\square$ No	)	If YES con	tinue to D.1.		(Fill in all items; check H for HI		)r	
If N	O, skip Section D a					CURRENT, both H and C if app			
	Current diagnosis		un Section	DSM IIIR					
1.	Current diagnosis	8:				<b>NEITHER, OF U, JOF UNKINUW</b>			<b>N</b> T
				Code			$\underline{\underline{C}}$	H	N
	Axis I:	_				Suicidal ideas/attempts			
						Homicidal ideas/attempts			
	Axis II:					Delusions			
						Hallucinations			
2.	Current Psychotr	opic Medicat	ions:			Disruptive behavior			
	Nam		Dosage	Schedule		Severe depression			
		-				Severe thought disorder			
						Violence/assalutiveness			
						Arson/firesetting			
						Criminal activity/arrests			
						Cognitive impairment			
3	To the degree kno	own list all ns	vchiatric		4	4. Does applicant have a history of	f substance a	buse?	
	hospitalizations a								
	(Include current h					☐ Yes; substances(s):			
	(Include current n	-		Source of					
		Admission	-	Source of					
	Hospital/ER	Date	Date	Information		□ No			
					1	5. Reported degree of problem:			
						Occasional use		t applic	rable
						Chronic addiction		known	
						Habitual use		KIIUWII	
						6. Does applicant have a history of	f substance a	buse	
						treatment? 🛛 Yes 🗖 No			
	SECTION E: ME	DICAL INFO	DMATION	J		Name of Treatment Program		Da	ates
	SECTION E. ME			`					
1	In what manner o	loes applicant	take medic	ation?					
			- moule						
	□ Staff dispensed	d	Indeper	ndent					
	□ Staff supervise	ed	Refuse:	5		7. Length of time applicant has be	en substance	e free:	
	Staff reminder		🗖 Not app	plicable		(In months)			
2	Is applicant comp	liant with me	dication req	imen?		Alcohol:	🗆 No	t applic	cable
	apprount comp			, <b></b> -		• • • • • • • • • • • • • • • • •		- appire	
	$\Box$ Yes $\Box$ No	<b>`</b>				Drugs:		t applic	vabla

HRA-1990M (Rev. 4/16/12)	Page 4 o	f 5 pages	HOUSING PLACEMENT APPLICATION
NAME OF APPLICANT:			SS #:
SECTION E: MEDICAL INFOR	RMATION Cont	inued	
The disclosure of HIV related inform			12. Medical Tests:
accompanied by a Special Consent t			(All medical tests should have been completed within the
applicant. This form is attached as p		•••	last 90 days.)
8. Medical Diagnosis (Axis III):	age 5 of this App	ileation.	iusi 90 uuys.)
o. Metical Diagnosis (Axis III):			A. Chest X-ray: Date:
			Interpretation:
			B. Is applicant free from TB and other communicable
			diseases?
9. Current Medications:			$\square$ No $\square$ Yes, Explain:
Name	Dosage	Schedule	
	Donge	Senedule	
	1		
			C. Lab Data: WBC:
			Hg:
			EKG:
10. Does applicant have a medical c	condition that requ	uires	Urinalysis:
special services such as special	-		
supplies, ongoing physician sup			Physician Signature:
diet?	•		
			Printed Name:
$\Box$ No $\Box$ Yes, Describe:			
			Date:
			REFERRING AGENCY:
11. Physical Functioning Level:			
(Please answer each of the follo	owing)		
	Yes	No	ADDRESS:
Bedridden			
Amputee			
Blind			
Deaf			REFERRING WORKER:
Incontinent			
Needs help with toileting			Title:
Fully ambulatory			
Climbs 1 flight of stairs			Phone: FAX No.:
Climbs 1 flight of stairs Wheelchair required			Phone:         FAX No.:           ( )         ( )
-			
Wheelchair required			<u>()</u> ()
Wheelchair required Can bathe self			<u>()</u> ()

#### SS #:

## AUTHORIZATION FOR RELEASE OF CONFIDENTIAL HIV RELATED INFORMATION

Confidential HIV (Human Immunodeficiency Virus) related information is any information indicating that a person had an HIV related test, or has HIV infection, HIV related illness or AIDS, or any information which could indicate that a person has been potentially exposed to HIV.

Under New York State law, except for certain people, confidential HIV related information can only be given to persons you allow to have it by signing a release. You can ask for a list of people who can be given confidential HIV related without a release form by calling the HIV Confidentiality Law Hotline at 1-(800)962-5065.

If you sign this form, HIV related information can be given to the people listed on the form, and for the reason(s) listed on the form. You do not have to sign the form to obtain housing and you can change your mind at any time. If you experience discrimination because of release of HIV related information, you may contact the New York State Division of Human Rights at (212)566-5493. These agencies are responsible for protecting your rights.

Name and address of facility/provider obtaining release:

Name of person whose HIV related information will be released:

*Name and address of Person(s) who will be given HIV related information:* 

NYC Human Resources Administration Adult Protective Services Voluntary and Proprietary Homes for Adults

400 8th Avenue, 8th fl, NY, NY 10001

Reason for Release of HIV related information: Housing Assessment and Placement Approval

*Time during which release is authorized:* Six months from date that release is signed.

I authorize the disclosure of HIV related information to the people/agencies listed on this form, and for the reason(s) listed on the form. My questions about this form have been answered. I know that I do not have to allow release of HIV related information, and that I can change my mind at any time.

Date:\_\_\_\_\_ Signature:\_\_



#### Shelter Rates and Personal Needs Allowance for Congregate Care Facilities Desk Aid

(Effective January 1, 2017)

The Human Resources Administration (HRA) provides a shelter allowance and a personal needs allowance so that low-income aged or disabled adults who need a supervised and supportive living arrangement can afford housing in state licensed homes and residences. The type of care that is offered in these homes and residences is known as congregate care. HRA provides an allowance for each individual receiving care in a Level 1, Level 2, or Level 3 certified congregate care facility who files an application and is deemed eligible for Cash Assistance (CA). The allowance is based on the rates provided for care and maintenance under the Supplemental Security Income (SSI) Program for SSI beneficiaries residing in the same facility, less the amount of any personal needs allowance included in the SSI rate. There are three (3) levels of congregate care facilities, each with a different rate of payment. These rates change each year in accordance with the Cost of Living Adjustment (COLA) received by SSI recipients.

Congregate Care Level	Shelter Type Code	Description	Semimonthly Shelter Rate	Semimonthly Personal Needs Allowance	
Level 1 <b>15</b> Family Services (OCFS), Office of Mental People with Developmental Disabilities (O HRA through the Division of Voluntary and Adults (DVPHA). These are smaller reside people with developmental disabilities, the		Family-type homes for adults licensed by the Office of Children and Family Services (OCFS), Office of Mental Health (OMH), or Office for People with Developmental Disabilities (OPWDD) and operated by HRA through the Division of Voluntary and Proprietary Homes for Adults (DVPHA). These are smaller residential programs serving people with developmental disabilities, the mentally ill, and the frail elderly. Facility in NYC, Nassau, Suffolk, Westchester or Rockland.	\$430.00	\$70.50	
	28	Same as above in a rest of the state facility.	\$411.00		
	16	Adult homes licensed through the New York State Department of Health (DOH) and community residences licensed through OMH. Facility in NYC, Nassau, Suffolk, Westchester or Rockland.	\$503.50		
	29	Same as above in a rest of the state facility.	\$488.50		
Level 2	31	Residential Alcohol and Substance Abuse Treatment Programs. Facility in NYC, Nassau, Suffolk, Westchester or Rockland.	\$503.50	\$81.50	
	32	Same as above in a rest of the state facility.	\$488.50		
	43	Community residences licensed through OMH/OPWDD. These are principally small group homes and supported apartments. Cases that were previously coded as Shelter Type <b>16</b> , but live in an apartment-like setting and are now coded as Shelter Type <b>43</b> . The case can be larger than a family size of 1.	\$503.50		
Level 3	42	DOH Adult Homes and Enriched Housing facilities. The case <b>must be</b> a family size of 1.	\$617.50	\$97.00	