Office of Administrative Hearings (OAH) Procedures Transmittal		Transmittal Number:	07-02	
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ALB OAH Staff $\overline{X}$ UPS ALJs $\overline{X}$ Upstate LDSS		Subject:		
SUP ALJs X		Reissued	Reissued Document Imaging	
NYC OAH Staff X NYC ALJs X NYC Agencie	s 🗌	Instructions		
SUP ALJs X				

Since 1999, staff in the Office of Administrative Hearings (OAH) Administrative Support Unit has been utilizing the Panagon document imaging system for on-line storage and retrieval of all documents entered into evidence at administrative hearings. The OAH Transmittal 00-04 was issued on February 9, 2000 to announce this. This transmittal updates and supersedes the one previously issued.

Use of the Panagon document imaging system has replaced the need to physically store vast numbers of paper files while making the documents readily accessible on-line. As the success of OAH's document storage and retrieval is based on image quality, we have established certain criteria that are essential to achieving good quality images.

To the extent possible, please ensure that new documents inserted in the file are staple free. This will greatly assist staff assigned to the document imaging task. Also, colored paper creates imaging problems and should be avoided.

Please do not write in the margins of any forms or on the inside file jacket since such written information results in considerable effort to prepare these pages for scanning. A preferred method of including additional information that does not fit on existing forms is to insert an 8 1/2-inch by 11-inch sheet of white paper with such information plainly written and identified as to what it is. Please try to limit information handwritten on the exterior of the file jacket to those items that are required to be filled in such as Tape Numbers/Digits; Disposition Outcomes; Adjournment Codes; ALJ Number; Representative, etc.

While we can't control what documents are provided by appellants, representatives, and agency staff, keep in mind that the following kinds of documents are difficult to scan.

Colored documents Legal-size documents Faxed documents Onion-skin documents Documents containing "Post-Its" Illegible documents Stapled documents Therefore, if a document of one of the above types is submitted by a walk-in appellant, representative, or agency representative for inclusion in the file and if a photocopy must be made of the document, whenever possible, the photocopy should be retained with the file and the original "hard-to-scan" document should be returned to the individual submitting it.

For any staff who have occasion to handle perforated green-bar printouts from either the WMS, PDS, or BICS system or perforated printouts from the FHIS system, it would be helpful, whenever possible, if these pages could be torn apart at the perforations rather than being inserted in the files as one continuous document.

A separate transmittal (OAH 07-01) has been forwarded to local agency staff to request their cooperation in avoiding the submission of the above-mentioned types of difficult-to-scan documents as part of their evidentiary packets.

The responsibility for document imaging is being coordinated by Vicki Buchanan under the direction of Audrey Maurer. If you have any questions, you may contact Ms. Buchanan at (518) 473-8920 or Ms. Maurer at (518) 474-3265 or via email addresses: <u>Vicki.Buchanan@otda.state.ny.us</u> or <u>Audrey.Maurer@otda.state.ny.us</u>.

Mark Jacuita

Mark Lacivita, Director of Administration Office of Administrative Hearings